



Standards Committee

Date: FRIDAY, 5 OCTOBER 2018
Time: 11.00 am
Venue: COMMITTEE ROOMS - 2ND FLOOR, WEST WING, GUILDHALL

Members: Oliver Lodge (Chairman)
Ann Holmes (Deputy Chairman)
Caroline Addy
Judith Barnes (Co-opted)
Chris Boden
Deputy Kevin Everett
Alderman David Graves
Mark Greenburgh (Co-opted)
Michael Hudson
Deputy Jamie Ingham Clark
Dan Large (Co-opted)
Deputy Edward Lord
Alderman Professor Michael Mainelli
Oliver Sells QC
Jeremy Simons
Vacancy (Co-opted)

Enquiries: Martin Newton
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martin.newton@cityoflondon.gov.uk

Lunch will be served in the Guildhall Club at 1pm

John Barradell
Town Clerk and Chief Executive

AGENDA

1. **APOLOGIES**

2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **MINUTES OF THE PREVIOUS MEETING**

To agree the public minutes of the meeting held on 18 May 2018.

For Decision
(Pages 1 - 14)

4. **MINUTES OF SUB COMMITTEE MEETINGS**

To receive the public minutes of the Hearing, Dispensation, Appeal and Assessments Sub Committee meetings that have taken place since the last meeting of the Standards Committee.

For Information
(Pages 15 - 38)

5. **DISPENSATIONS POLICY AND GUIDANCE, ETC**

Joint report by the Town Clerk and the Comptroller and City Solicitor. TO FOLLOW

For Decision

6. **DISPENSATIONS - RESOLUTIONS TO STANDARDS COMMITTEE**

To consider the resolutions from a) Barbican Residential Committee; b) Community and Children's Services Committee; and c) Housing Management and Almshouses Sub Committee.

For Information
(Pages 39 - 44)

7. **OUTSTANDING ACTIONS**

Report by the Town Clerk.

For Information
(Pages 45 - 48)

8. **CO-OPTED MEMBERS AND DECLARATIONS OF INTERESTS**

Report by the Town Clerk.

For Decision
(Pages 49 - 52)

9. **LORD MAYOR AND SHRIEVAL GIFTS AND HOSPITALITY**

Report by the Executive Director, Mansion House and Central Criminal Court.

For Information
(Pages 53 - 80)

10. **DISPENSATIONS REQUESTS**

Report by the Town Clerk.

For Decision
(Pages 81 - 90)

11. **ACTION TAKEN BETWEEN MEETINGS**

Report by the Town Clerk.

For Information
(Pages 91 - 94)

12. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

13. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

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STANDARDS COMMITTEE Friday, 18 May 2018

Minutes of the meeting of the Standards Committee held at Committee Rooms -
Committee Rooms on Friday, 18 May 2018 at 11.30 am

Present

Members:

Judith Barnes
Chris Boden
Deputy Kevin Everett
Alderman David Graves
Mark Greenburgh
Ann Holmes
Michael Hudson
Deputy Jamie Ingham Clark
Dan Large
Oliver Lodge
Deputy Edward Lord
Oliver Sells QC
Jeremy Simons

Officers:

Michael Cogher	- Comptroller and City Solicitor
Edward Wood	- Comptroller and City Solicitor's Department
Martin Newton	- Town Clerk's Department

1. THE CHAIR

It was moved by Deputy Everett, duly seconded and

RESOLVED – that Alderman Graves takes ‘the chair’ until the election of a Chairman for the 2018/19 year.

2. APOLOGIES

The Committee noted the appointment of 2 further Members (Alderman Mainelli and Jeremy Simons) since the publication of the agenda for its meeting. Apologies for absence had been received from Caroline Addy and Alderman Mainelli.

3. MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

Judith Barnes, Mark Greenburgh and Dan Large declared non-pecuniary interests in the items relating to Co-opted Members declarations of interest and appointment to the Committee. Deputy Lord declared an disclosable pecuniary interest in the item on dispensation requests insofar as he had submitted a request for determination.

4. **ORDER OF THE COURT OF COMMON COUNCIL**

The Committee received as information the Order of the Court of Common Council.

RECEIVED.

5. **ELECTION OF CHAIRMAN**

The Town Clerk having read the names of those Members eligible to stand for election as Chairman for the ensuing year, and Oliver Lodge being the only Member to put himself forward for election, it was

RESOLVED – That Oliver Lodge be appointed as Chairman of the Committee for the ensuing year.

On taking his seat, the Chairman thanked Members for his election and welcomed Caroline Addy, Alderman Mainelli, Oliver Sells and Jeremy Simons to the Committee.

6. **ELECTION OF A DEPUTY CHAIRMAN**

The Town Clerk having read names of those Members eligible to stand for election as Deputy Chairman, Alderman Graves, Mark Greenburgh, Ann Holmes and Michael Hudson put themselves forward for election.

A ballot was held and there was found to be 3 votes for Mark Greenburgh and Ann Holmes, 2 votes for Michael Hudson and 1 vote for Alderman Graves.

A further ballot was then held under the provisions of the Standing Orders and there was found to be 4 votes for Ann Holmes, 3 votes for Mark Greenburgh and 2 votes for Michael Hudson.

A final vote was then held and there was found to be 4 votes for Mark Greenburgh and 5 votes for Ann Holmes.

RESOLVED – That Ann Holmes be duly elected Deputy Chairman for the ensuing year.

On taking her seat, Ann Holmes thanked Members for her election.

7. **MINUTES OF THE PREVIOUS MEETINGS**

Members had before them the public minutes of the Standards Committee's meetings on 6 October 2017 and 26 January 2018 (inquate).

RESOLVED – That the minutes be confirmed and signed as a correct record.

Matters Arising

Barbican Residential Committee

The Committee noted that, on 14 December 2017, the Policy & Resources Committee had asked for the governance of the City Corporation's residential housing to be examined on a more strategic level with the aim of producing

proposals which consolidate the governance arrangements of the City Corporation's residential housing offer. It was also noted that, at its inquorate January 2018 meeting, the Standards Committee had considered a report of the Comptroller and City Solicitor on potential conflicts of interest on the Barbican Residential Committee and had subsequently submitted views to the Policy and Resources Committee, under the urgency provisions of Standing Order No. 41(a), to ensure that these comments could be considered as part of that Committee's review of the governance of the City Corporation's residential housing.

Members were informed that a further report on the matter had not yet come before the Policy and Resources Committee and Members asked that a resolution be forwarded to that Committee for an update on when this matter would be considered.

(Action: TC)

8. MINUTES OF ASSESSMENTS SUB (STANDARDS) AND DISPENSATIONS SUB COMMITTEES

Members had before them the public minutes of the Assessment Sub (Standards) Committee and Dispensation Sub (Standards) Committee's recent meetings.

RECEIVED.

9. OUTSTANDING ACTIONS

The Committee considered the report of the Town Clerk on outstanding actions. The following matters were raised:-

- On the Members' Code of Conduct and associated documents visibility on the Corporation's website, Members asked that the appropriate committee be notified that its view was that the Code documents should be easy to find and readily accessible (under 'C' for Code; 'M' for Member, for example). A Member also said that this reminder to the appropriate committee should further request that the details of how the public make a complaint under the Code should also be easy to locate.

(Action: TC)

RECEIVED.

10. OUTCOME OF STANDARDS FRAMEWORK REVIEW

Members had before them the report of the Town Clerk on the outcome of the Standards framework review. The following matters were raised:-

- Noted that the Town Clerk had delegated authority to determine the start of the new complaints process following training for Standards Appeal Committee members. The Chairman asked that officers ensure that the timing of new process commencement avoids any gaps or duplication of arrangements for appeals to maintain confidence in the system.

(Action: TC / CCS)

- Noted that complaints now in the system would continue to be dealt with under the current arrangements rather than the revised arrangements.
- A Co-opted Member made a point about the informal resolution of disputes (paragraph 14 to 17 of the complaints procedure, pages 50-51 of the agenda pack) and her views (detailed below) that this element of the procedure needs to be clearer:
 - paragraph 15 refers to the Chief Commoner/Aldermanic Chairmen being effective in resolving disputes between members. If it is intended that they can also be asked to informally resolve a complaint by a member of the public, this should be explicit.
 - either the complainant or the subject of the complaint can at any time during or after informal resolution withdraw their consent to informal resolution and ask for the complaint to be referred to the Standards Committee. This is an important safeguard and needs to be made explicit. Currently paragraph 17 only refers to the right of a member (presumably the member complained about, though that is not clear) to refer a matter to the Standards Committee if aggrieved by any proposed course of action.

Another Member put forward the view that paragraph 63 (iv) on page 60 of the agenda pack on sanctions did not make reference to the possible withdrawal of an appointment to an outside body.

On these points, the Chairman said that a review of current wording of the documents should be undertaken at the end of the year, by when there should have been opportunity to identify shortcomings. The Town Clerk to maintain a list of points for consideration as they emerge.

(Action: TC)

RECEIVED.

11. REVIEW OF TERMS OF REFERENCE ARISING FROM OUTCOME OF STANDARDS FRAMEWORK REVIEW

The Committee considered the Town Clerk's report on the review of terms of reference. The following matters were raised:-

- On terms of reference of the Standards Committee, the Chairman and other Members suggested amendments be made to the draft wording set out on pages 83 and 84 of the agenda pack as set out below:-
 - Amend (d) and (e) to include reference to committee ownership (Establishment)
 - Amend (g) to read "Monitoring all complaints referred to it and dealing with assessment..."
 - Amend (h) to read "Prepare an annual report on its activity for submission to the Court of Common Council."

- Noted that the Comptroller and City Solicitor would offer appropriate training to Committee Members on revised standards arrangements.
(Action: CCS)
- On terms of reference of the Sub Committees, the Town Clerk confirmed that the listed changes to quorums on pages 85 and 87 reflected decisions taken by the Court on the review at its March meeting. Noted that there would be provision for late substitution of members listed as serving at the meeting on the agenda papers.

RESOLVED – That

- (a) the draft terms of reference of the Committee be approved for submission to the Court of Common Council, as set out below:-

Standards Committee – Draft Terms of Reference

To be responsible for:-

- (a) promoting and maintaining high standards of conduct by Members and Co-opted Members of the City of London Corporation and to assist Members and Co-opted Members to observe the City of London Corporation's Code of Conduct;
- (b) preparing, keeping under review and monitoring the City of London Corporation's Member Code of Conduct and making recommendations to the Court of Common Council in respect of the adoption or revision, as appropriate, of such Code of Conduct;
- (c) ***keeping under review, monitoring and revising as appropriate the City of London Corporation's Guidance to Members on the Code of Conduct and the complaints procedure and relevant paperwork, reporting any changes on these documents to the Court of Common Council in the Committee's annual report.***
- (d) keeping under review by way of an annual update by the Director of HR, the City of London Corporation's Employee Code of Conduct ***and, in relation to any revisions, making recommendations to the Establishment Committee;***
- (e) keeping under review and monitoring the Protocol on Member/Officer Relations ***and, in relation to any revisions, making recommendations to the Establishment Committee;***
- (f) advising and training Members and Co-opted Members on matters relating to the City of London Corporation's Code of Conduct;
- (g) ***monitoring all allegations referred to it and dealing with assessment of and any hearing into*** any allegations of breach of the City of London Corporation's Code of Conduct in respect of Members and Co-opted

Members, and in particular:

- (i) to determine whether any allegation should be investigated by or on behalf of the Town Clerk or the Monitoring Officer and their findings reported to the Committee;
 - (ii) in relation to any allegation that it has decided to investigate, to determine whether there has been a breach of the Code of Conduct, taking into account the views of an Independent Person appointed under the Localism Act 2011;
 - (iii) where there has been a breach of the Code of Conduct, to determine the appropriate sanction, and where this involves removal of a Member or Co-opted Member from any committee or sub-committee, to make an appropriate recommendation to the relevant appointing body;
 - ~~(iv) to determine any appeal from a Member or Co-opted Member in relation to a finding that they have breached the Code of Conduct and/or in relation to the sanction imposed; and~~
- (h)** ~~monitoring all complaints referred to it and~~ **To** prepare an annual report on its activity for submission to the Court of Common Council.

(b) The draft terms of reference of the Sub-Committees be approved, as set out below:-

Standards Committee – Sub-Committees’ Draft Terms of Reference

Assessment Sub Committee

- a) The Assessment Sub-Committee is established to receive and assess allegations that a Member or Co-opted Member of the City has failed, or may have failed, to comply with the Code of Conduct.
- b) Upon receipt of each allegation and any accompanying report by the Monitoring Officer, the Sub-Committee will make an initial assessment of the allegation and will then do one of the following:-
 - (i) refer the allegation to the Monitoring Officer, with an instruction that s/he arrange a formal investigation of the allegation; or
 - (ii) direct the Monitoring Officer to arrange training, conciliation or other appropriate alternative steps; or
 - (iii) decide that no action should be taken in respect of the allegation.

- c) ***The Sub Committee will consist of any three elected Members (voting) and one Co-opted Member (non-voting) to be drawn from the membership of the Standards Committee.***
- d) ***The quorum shall consist of any three elected Members.***
- e) ***The Sub Committee will take into account the views of an Independent Person appointed under the Localism Act 2011.***

Hearing Sub Committee

- a) To hear and determine any allegation that a Member has failed, or may have failed, to comply with the Code of Conduct for Members;
- b) Following the hearing, to make one of the following findings:-
 - (i) that the subject Member has not failed to comply with the Code of Conduct;
 - (ii) that the subject Member has failed to comply with the Code of Conduct but that no action needs to be taken in respect of the matters considered at the hearing;
 - (iii) that the subject Member has failed to comply with the Code of Conduct and that a sanction should be imposed.
- c) If the Sub-Committee makes a finding under paragraph b) (iii), it may impose any one of or any combination of sanctions that are available:

If the Hearing Sub-Committee finds that a subject Member has failed to follow the Code of Conduct and that they should be sanctioned, it may impose any one or a combination of the following:-

- censure of that Member;
- withdrawal of City hospitality for an appropriate period;
- removal of that Member from a particular committee or committees.

The option of removal from a particular committee or committees includes sub-committees. The Hearing Sub-Committee will make a recommendation to the relevant appointing body in each case.

The Hearing Sub-Committee has no power to impose any alternative sanctions, although the willingness of a member to co-operate in the

matters listed below may have a bearing on any sanction that is imposed:-

- that the Member submits a written apology in a form specified by the Hearing Sub-Committee;
 - that the Member undertakes such training as the Hearing Sub-Committee specifies;
 - that the Member participates in such conciliation as the Hearing Sub-Committee specifies.
- d) ***The Sub Committee will consist of any three elected Members (voting) and one Co-opted Member (non-voting) to be drawn from the membership of the Standards Committee, provided that Members should not be selected to sit on the Hearing Sub Committee if they sat on the Assessment Sub Committee in relation to the same complaint.***
- e) ***The quorum shall consist of any three elected Members.***
- f) ***The Sub Committee will take into account the views of an Independent Person appointed under the Localism Act 2011.***

Appeal Sub Committee

Appeal process

~~If a Member is aggrieved by a decision of the Hearing Sub-Committee to impose one or more sanctions against him/her, either because he/she does not accept that he/she has breached the Code of Conduct, or because he/she considers that the sanction or sanctions imposed are disproportionate, he/she is entitled to appeal to the Appeal Sub-Committee.~~

~~Any such request must be sent in writing to the clerk to the Appeal Sub-Committee and received by him/her within 20 working days from the date that the subject Member is informed of the decision of the Hearing Sub-Committee. The Appeal Sub-Committee will normally complete its review of the decision within an average of 30 working days following receipt of the request.~~

Appeal Sub Committee Terms of reference

- b) ~~To determine any appeal from a Member in relation to a finding of the Hearing Sub-Committee that they have breached the Code of Conduct and/or in relation to the sanction imposed,~~

- b) ~~Having due regard to the decision of the Hearing Sub-Committee, to substitute any alternative decision for that decision that the Appeal Sub-Committee considers is appropriate, being a decision that the Hearing Sub-Committee had the power to make.~~

Dispensations Sub (Standards) Committee

- a) The Dispensations Sub Committee is established to determine written requests for dispensations from Members or Co-opted Members to take part in any discussion and/or vote on a matter in which they have a disclosable pecuniary interest in accordance with section 33 of the Localism Act 2011.
- b) Upon receipt of a written request for a dispensation, a meeting of the Sub Committee will be convened (unless a meeting of the Standards Committee is scheduled to take place within a reasonable timeframe), to consider the details of the request and will then do one of the following:-
- (i) grant a dispensation (in whole or in part) for a specified period not exceeding four years;
 - (ii) reject the request for a dispensation; or
 - (iii) seek further information regarding the request ahead of further consideration at a newly convened meeting, or in accordance with the City Corporation's urgency provisions (Standing Order No. 41).
- c) The Town Clerk will advise the Member seeking a dispensation of the Sub Committee's decision upon the conclusion of the meeting and will retain a list of action taken in respect of all written requests considered by the Sub Committee.
- d) The Sub Committee will consist of any three elected Members (voting) and one Co-opted Member (non-voting) to be drawn from the membership of the Standards Committee.
- e) The quorum shall consist of any three elected Members.

12. CO-OPTED MEMBERS AND DECLARATIONS OF INTERESTS

The Committee considered the Town Clerk's paper on Co-opted Members and declarations of interest. The following matters were raised:-

- Noted that the annual update is underway and that co-optees' declarations of interest would be published. The Committee expressed frustration at the delays to date in publishing this information and requested that declarations received be published on-line by the end of June.

(Action: TC)

- Noted that all co-optees (except on consultative committees) would also be obliged to use a City email address from 25 May 2018. A Co-opted Member commented that this needed to be made clearer to co-optees.

RECEIVED.

13. APPOINTMENT OF CO-OPTED MEMBERS OF THE COMMITTEE

The Town Clerk reported on the appointment of Co-opted Members to the Committee.

Mark Greenburgh and Dan Large left the room during Members' consideration of the paper insofar as it covered their possible reappointment as Co-opted Members of the Committee. It was also

RESOLVED – That under Section 100A(4) of the Local Government Act 1972, the public be excluded from the meeting for the item of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part I of Schedule 12A of the Local Government Act.

After the contents of the report had been discussed, Mark Greenburgh and Dan Large returned to the Committee room.

The meeting returned to public session.

With the agreement of Members, the Chairman drew a name out of the ballot bowl and declared that Dan Large would be recommended to the Court for appointment as a Co-opted Member of the Committee for a 4 year term of office, with Mark Greenburgh to be recommended for a 3 year term of office.

The Committee then considered the matter of the outstanding vacancy for a Co-opted Member and it was agreed that this vacancy be advertised with an initial term of office of 2 years.

RESOLVED - That

- (a) the re-appointments of Dan Large and Mark Greenburgh as Co-opted Members of the Standards Committee, for a 4 year and a 3 year term of office respectively, to commence from the expiry of their current terms in December 2018, be recommended to the Court of Common Council for approval at its July meeting.
- (b) The Town Clerk make the necessary arrangements for advertising the outstanding vacancy for a Co-opted Member with an initial term of office

of 2 years commencing from the date of appointment by the Court of Common Council.

14. DISPENSATIONS GENERAL POLICY

The Committee considered the report of the Comptroller and City Solicitor on the general policy on granting dispensations under the Localism Act 2011.

A Co-opted Member put forward the view that some additional relevant information is required by committee members when assessing dispensation requests, such as committee composition, quorum, number of conflicted members, etc if that information was known. He asked that these details be added to the usual dispensations report 'template' going forward. The Co-opted Member put forward the view that a dispensation to vote needed to clear a 'higher hurdle' than a dispensation for speaking rights only.

It was noted that a number of recent dispensation requests had been approved for speaking only rights and for a specific meeting. A Member commented that a blanket exemption until next ward elections would usually seem less appropriate than one for 'specific meetings only' in the vast majority of instances and that although the boxes currently contained on the application form for 'until next ward election' should probably be retained, encouragement should be given to using them sparingly.

RESOLVED – That the report be received and contents noted.

15. DISPENSATIONS GUIDANCE FOR CHAIRMEN

The Comptroller and City Solicitor gave an oral update on guidance to Chairmen and explained that guidance to chairmen on committee interests and decision-making required some further research and consideration and that, given the time constraints and priority work commitments, it had not been possible to produce a paper for this meeting.

(Action: CCS)

RECEIVED.

(Deputy Lord left the meeting at this point).

16. DISPENSATION REQUESTS

The Committee considered the joint report of the Comptroller and City Solicitor and the Town Clerk on recently received dispensation requests.

Arising from the Committee's consideration of these it was:-

RESOLVED - (a) that the following dispensations be agreed:-

Mark Bostock

Partially granted (speaking rights only – voting rights refused)

A dispensation be granted to speak on Ben Jonson, Breton, Thomas More, Benyon and Willoughby Houses, Barbican residential car park at the Barbican Residential Committee on 4 June 2018.

Deputy John Tomlinson

Partially granted (speaking rights only – voting rights refused)

A dispensation be granted to speak at Barbican Residential Committee on 4 June 2018 on (i) car park charges and stores and (ii) concrete repairs.

The request to vote and speak on (iii) (any discussion which may arise on a possible review of the current composition and terms of reference of the Barbican Residential Committee) was refused as no proposal for this currently exists, and therefore it was not possible to know what form any proposal might take.

Deputy David Bradshaw

Partially granted (speaking rights only – voting rights refused)

A dispensation be granted to speak on Baggage stores in the Barbican at the Barbican Residential Committee on 4 June 2018.

(b) That authority be delegated to the Town Clerk to determine the late submitted requests from Alderman Luder and Deputy Lord.

17. PROTOCOL ON MEMBER / OFFICER RELATIONS

The Committee considered the report of the Comptroller and City Solicitor on the Protocol on Member / Officer relations.

RESOLVED – That the revised Protocol on Member/Officer Relations be approved for endorsement by the Establishment Committee and onward approval by the Court of Common Council.

18. COMMITTEE ANNUAL REPORT

The Committee considered the report of the Town Clerk on the annual report of the Committee.

The following matters were raised:-

- The Chairman asked that the Court report be amended to reflect the current composition of the Committee and with a reference inserted to dispensations. He also asked that some redrafting of the Employee Code of Conduct and gifts and hospitality sections be undertaken and that paragraphs 14 and 15 be omitted. A Member also asked that details of standards training and attendance in the period under review be included.

RESOLVED – That subject to the necessary changes outlined above the annual report be approved for consideration by the Court of Common Council in July.

19. **ACTION TAKEN BETWEEN MEETINGS**

The Committee had before them the Town Clerk's report on action taken between meetings.

RECEIVED.

20. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

There were no questions.

21. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

There was no urgent business.

22. **MINUTES OF THE PREVIOUS MEETING**

RESOLVED – That the non-public minutes of the inquorate meeting on 26 January 2018 be confirmed and signed as correct.

23. **NON PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

There were no non-public questions.

24. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

There was no non-public urgent business.

The meeting ended at 1.33 pm

Chairman

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HEARING SUB (STANDARDS) COMMITTEE

Monday, 21 May 2018

Minutes of the meeting of the Hearing Sub (Standards) Committee held at the Guildhall EC2 at 10.00 am

Present

Members:

Caroline Addy

Mark Greenburgh

Deputy Jamie Ingham Clark

Deputy Edward Lord

In attendance:

Neil Asten (Independent Person)

Common Councilman XX

Common Councilman XX (Adviser)

John Austin

- Investigating Officer

Jonathan Swift, QC

- On behalf of Comptroller and City Solicitor

Martin Newton

- Town Clerk's Department

Edward Wood

- Comptroller and City Solicitor's Department

1. QUESTIONS RELATING TO THE WORK OF THE COMMITTEE

The Chairman welcomed those present and introduced the rest of the Sub Committee present, explaining that Caroline Addy, a member of the Standards Committee, was replacing Deputy Kevin Everett who was unable to be present. Caroline Addy confirmed that she had received and read all relevant papers for the meeting over the weekend.

The Chairman then introduced Jonathan Swift, QC, advising the Sub Committee on any issues of law that might arise, John Austin, the Investigating Officer in this instance, Edward Wood (Comptroller's) and Martin Newton (Town Clerk's).

2. HEARING PROCEDURE

The Chairman referred to the circulated hearing procedure and it was agreed by all that the meeting would go into non-public session following discussion on this procedure. The Chairman confirmed he was unaware of any witnesses to be called, notwithstanding discussions that would take place shortly on 'preliminaries'.

3. COMPLAINTS PROCEDURE

The content of the complaints procedure was noted.

4. **ANY OTHER PUBLIC BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

There was no other urgent business.

5. **EXCLUSION OF THE PUBLIC**

RESOLVED - That under Section 100A(4) of the Local Government Act 1972, the public be excluded from the meeting for the remaining items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1 and 2 of Part I of Schedule 12A of the Local Government Act.

6. **STANDARDS COMMITTEE REFERRAL**

The Sub Committee then proceeded to discuss the referred allegation of a breach of the Members' Code of Conduct, considering the report of the Investigating Officer and all other relevant submissions, during which the Sub Committee adjourned and reconvened on several occasions to receive legal advice, break for lunch, and come to its decision.

The meeting closed at 4.04 pm

Chairman

Contact Officer: Martin Newton
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HEARING SUB (STANDARDS) COMMITTEE

Monday, 11 June 2018 and Thursday 21 June 2018

Minutes of the meeting of the Hearing Sub (Standards) Committee held at the Guildhall EC2 on Monday 11 June 2018 at 3.30 pm, adjourned at 4.15pm that day and then reconvened on Thursday 21 June 2018

Present

Members:

Caroline Addy
Mark Greenburgh

Deputy Jamie Ingham Clark (Chairman)
Deputy Edward Lord

In attendance:

Neil Asten (Independent Person)

Officers:

Martin Newton
Jonathan Swift, QC

- Town Clerk's Department
- For Comptroller and City Solicitor

1. APOLOGIES

There were no apologies.

2. MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

There were no declarations.

3. COMPLAINTS PROCEDURE

The complaints procedure was noted.

4. ANY OTHER PUBLIC BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT

There was no urgent public business.

5. EXCLUSION OF THE PUBLIC

RESOLVED - That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they may involve the likely disclosure of exempt information as defined in Part 1 of Schedule 12A of the Local Government Act.

6. STANDARDS COMMITTEE REFERRAL

The Sub Committee considered the next stage of the Standards Committee referral.

It was decided to adjourn the meeting at 4.15pm to allow attendance at a future time for Common Councilman xx in order to hear their views, with the reconvened meeting provisionally set for 21 June 2018 at 9.30am.

The Sub Committee meeting then duly reconvened at 9.30am on Thursday 21 June, with all previous attendees present, and considered the matter further.

7. **ANY OTHER NON-PUBLIC BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

There was no urgent non-public business.

The meeting closed at 10.43am

Chairman

**Contact Officer: Martin Newton
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DISPENSATIONS SUB (STANDARDS) COMMITTEE

Monday, 11 June 2018

Minutes of the meeting of the Dispensations Sub (Standards) Committee held at the Guildhall EC2 at 4.15 pm

Present

Members:

Caroline Addy
Mark Greenburgh

Deputy Jamie Ingham Clark
Deputy Edward Lord (Chairman)

Officers:

Michael Cogher - Comptroller and City Solicitor
Edward Wood - Comptroller and City Solicitor's Department
Martin Newton - Town Clerk's Department

1. ELECTION OF A CHAIRMAN

It was proposed, duly seconded and

RESOLVED – That Deputy Edward Lord be elected as Chairman for the duration of the meeting.

2. QUESTIONS ON MATTER RELATING TO THE WORK OF THE COMMITTEE

The Sub Committee noted that there are a number of disclosable pecuniary interests that prevent a Member from participating in any discussion or vote on a connected item of business. The disclosable pecuniary interest that is engaged in these cases is:

- (a) any beneficial interest in land which is within the area of the relevant authority.

Members also noted that the Localism Act 2011 does not provide any additional guidance on judging whether a disclosable pecuniary interest is engaged or not and states that the prohibition on speaking or voting on a matter is engaged where a Member:

- (a) is present at a meeting;
- (b) has a disclosable pecuniary interest in any matter to be considered, or being considered, at the meeting; and
- (c) is aware that the condition in paragraph (b) is met.

A relevant authority may, on a written request made to the proper officer of the authority by a Member of the authority, grant a dispensation relieving the Member from either or both of the restrictions on speaking or voting in cases

described in the dispensation. A dispensation must specify the period for which it has effect, which may not exceed four years. The relevant criteria for a City of London dispensation are:-

- (a) that without the dispensation the number of persons prohibited from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business;
- (b) that granting the dispensation is in the interests of persons living in the authority's area;
- (c) that it is otherwise appropriate to grant a dispensation.

It was further noted that subject to the specific statutory grounds for granting a dispensation, Members may also wish to take into account the following (non-exhaustive) additional factors:

- (i) whether the nature of the Member's interest is such that to allow them to participate would risk damage to public confidence in the conduct of the City Corporation's business;
- (ii) whether the interest is common to the Member and a significant proportion of the general public;
- (iii) whether the Member was elected on a platform that they would specifically address the item or items of business for which the dispensation is sought;
- (iv) whether the participation of the Member in the business that the interest relates to is justified by their particular role or expertise;
- (v) whether the request is for a dispensation to (a) speak at the meeting with the same rights as a member of the public; (b) participate fully in the debate as a Member of the decision-making body in question; or (c) participate fully in the debate as a Member of the decision-making body in question and vote on the business.

During discussion, a Co-opted Member again put forward the view expressed at the recent Standards Committee that some additional relevant information would be of assistance for committee members when assessing dispensation requests, such as committee composition, quorum, number of conflicted members, the situation regarding other ward members, etc if that information was known. He asked that these details be added to the usual dispensations report 'template' going forward. The Town Clerk apologised for the absence of this requested information.

3. **DISPENSATIONS**

The Sub Committee then proceeded to consider each request including, with the agreement of the Chairman, 3 requests received after the agenda papers had been published. The Sub Committee asked that it be recorded that previous dispensations requests granted should not be considered 'as precedent' going forward. The Sub Committee emphasised that the default position was that a Member with a disclosable pecuniary interest in a matter could not speak or vote, and that the onus was on the Member concerned to satisfy the Sub Committee that one of the relevant grounds for granting a dispensation had been met. All requests would be considered on merit based on the information provided and with due regard to all relevant circumstances.

The Sub Committee noted that in this case all of the requests for dispensations related to the same item of business to be heard by the Barbican Residential Committee, on the charging policy for car parking and stores. The Sub Committee considered that a distinction should be made between those resident Members who actually had a car parking space or storage space at present and those that did not – with the latter being allowed to speak, but not the former. The Sub Committee also considered that, where a Member was being refused a dispensation, another Ward Member on the Barbican Residential Committee who did not have a disclosable pecuniary interest, or did have a dispensation to speak, could effectively represent the views of constituents.

Arising from the Sub Committee's detailed consideration of the dispensation requests, and with Members having only taken account of all relevant issues in their determination including committee composition and details of the individual disclosable pecuniary interest and any comments of the Comptroller and City Solicitor, it was

RESOLVED – That the Sub Committee's decisions on each request for a dispensation be as follows:-

Mark Bostock

Partially granted (speaking rights only) - a dispensation be granted to speak only at Barbican Residential Committee on 21 June 2018 on charging policy for car parking and stores, Barbican Estate

Deputy David Bradshaw

Rejected - request refused for a dispensation to speak on car parking and baggage stores costs at Barbican Residential Committee on 21 June 2018

Deputy Joyce Nash

Rejected - request refused for a dispensation to vote on car park charges and store room charges at Barbican Residential Committee until ward elections in 2021

Deputy John Tomlinson

Rejected - request refused for a dispensation to speak and vote on car park charges and store room charges at Barbican Residential Committee on 21 June 2018

Mary Durcan

Rejected - request refused for a dispensation to speak and vote on car park charges and store room charges at Barbican Residential Committee on 21 June 2018

William Pimlott

On facts described by Mr Pimlott in his application, the Committee's view is that he could not have a disclosable pecuniary interest, and therefore it would not be appropriate to grant him a dispensation.

However, the Committee's guidance is that, in its view, it would not be a breach of paragraph 14 of the Code for him to speak only (but not vote) on the matters in question.

4. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**
There was no other urgent business.

The meeting closed at 5.00 pm

Chairman

Contact Officer: Martin Newton
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martin.newton@cityoflondon.gov.uk

DISPENSATIONS SUB (STANDARDS) COMMITTEE

Tuesday, 21 August 2018

Minutes of the meeting of the Dispensations Sub (Standards) Committee held at the Guildhall EC2 at 2.45 pm

Present

Members:

Ann Holmes (Chairman)
Deputy Jamie Ingham Clark

Dan Large (Co-opted Member)
Alderman Professor Michael Mainelli

Officers:

Lorraine Brook - Town Clerk's Department
Michael Cogher - Comptroller & City Solicitor
Edward Wood - Comptroller & City Solicitor's Department

Also Present: Chris Taylor (Independent Person)

1. ELECTION OF A CHAIRMAN

Having been moved and seconded, Ann Holmes was duly elected as Chairman for the purposes of the Sub-Committee meeting.

RESOLVED:- That Ann Holmes be elected as Chairman of the Dispensations Sub (Standards) Committee.

2. SUB COMMITTEE TERMS OF REFERENCE

The Sub-Committee received its terms of reference.

RESOLVED:- That the terms of reference of the Dispensations Sub (Standards) Committee be received.

3. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE

There were none.

4. DISPENSATION REQUEST

The Sub-Committee considered a report of the Town Clerk relative to a request for a dispensation from Oliver Sells, Common Councilman for the Ward of Farringdon Without and a member of the Planning and Transportation Committee. It was noted that Mr Sells had requested a dispensation to speak on a planning application for temporary structures at the Inner Temple which was currently expected to be considered by the Committee on 11th September 2018 but may, in due course, be considered at a later date. It was further noted that the request for a dispensation had arisen due to Mr Sells' disclosable pecuniary interest arising from his residential and business connection with Inner Temple.

The Sub-Committee agreed that Mr Sells had a disclosable pecuniary interest in respect of the future application to the Planning and Transportation Committee and considered whether, as per the request, Mr Sells' knowledge and experience of the Inner Temple area was such that not granting the dispensation to enable him to speak on the matter would limit the debate at the relevant meeting of the Planning and Transportation Committee and prevent the views of the Temple residents from being taken into consideration.

In noting the grounds on which a dispensation could be granted by the authority (as set out under the Localism Act 2011), the Sub-Committee determined that Mr Sells' request for a dispensation to speak on the future planning application for temporary structures at the Inner Temple (on 11th September 2018 or thereafter) should be refused as it did not satisfy any of the criteria on which a request could be granted. It was agreed that other members of the Planning and Transportation Committee could be lobbied by Mr Sells with a view to them making known, during a debate on the application, the views of residents of Inner Temple.

RESOLVED:- That the request for a dispensation from Oliver Sells, to speak on a planning application for temporary structures at the Inner Temple (currently expected to be considered by the Planning and Transportation Committee on 11th September 2018 or at a later date), be refused on the grounds that it did not meet the criteria set out under the Localism Act.

5. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

At the Chairman's request, the following item of urgent business was considered:-

(i) Request for a dispensation – Mr Shravan Joshi

The Town Clerk tabled a written request for a dispensation from Mr Shravan Joshi, Common Councilman for the Ward of Bishopsgate and a member of the Board of Governors of the City of London Freemen's School, which had been received earlier that morning.

It was noted that Mr Joshi was the parent of two pupils at the City of London Freemen's School and therefore, as a City Corporation appointed Governor serving on the Board of Governors to the School, Mr Joshi had a disclosable pecuniary interest and could not, without a dispensation to speak and/or vote on any of the business considered by the Board of Governors, participate in the business of the Board.

The Sub-Committee considered Mr Joshi's written application and his grounds for a dispensation to allow him to serve as a Governor (to speak/vote on all core strategic business), principally that (i) the Board will be left with a further vacancy and this may impact on its ability to transact its business; and (ii) it is common practice for parents to serve on school governing bodies in both independent and maintained schools and to withdraw from the business when a

specific pecuniary interest arises. It was noted that as a Common Council appointment, Mr Joshi's role as a Governor was different to that of a parent governor and therefore his disclosable pecuniary interest was such that a dispensation could only be granted if it met with the criteria set out under the Localism Act 2011. The Sub-Committee acknowledged Mr Joshi's request to participate only in core strategic business and where no direct pecuniary interest arose. However, Members felt that it was not possible to determine what business before the Board was or was not relevant to Mr Joshi's interest and on that basis, the request should be refused as it was not appropriate for him, in light of his disclosable pecuniary interest, to serve on the Board of Governors as a City Corporation Member.

RESOLVED:- That the request for a dispensation from Mr Shravan Joshi, Common Councilman for the Ward of Bishopsgate and a member of the Board of Governors of the City of London Freeman's School, to speak and vote on the business considered by the Board of Governors of the City of London Freeman's School (excluding matters where there is a direct pecuniary interest) until his term of appointment comes to an end, be refused on the grounds that it did not meet the criteria set out under the Localism Act.

The meeting closed at 3.10 pm

Chairman

Contact Officer: Lorraine Brook
lorraine.brook@cityoflondon.gov.uk

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DISPENSATIONS SUB (STANDARDS) COMMITTEE

Monday, 10 September 2018

Minutes of the meeting of the Dispensations Sub (Standards) Committee held at the Guildhall EC2 at 10.33 am

Present

Members:

Mark Greenburgh

Deputy Jamie Ingham Clark

Oliver Lodge

Deputy Edward Lord

Officers:

Martin Newton

Edward Wood

- Town Clerk's Department

- Comptroller and City Solicitor's Department

1. ELECTION OF A CHAIRMAN

It was moved by Deputy Lord, duly seconded and

RESOLVED – That Mark Greenburgh be elected Chairman for the meeting.

2. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE

There were no matters relating to the work of the Committee.

3. DISPENSATION REQUESTS

The Sub Committee had before them the report by the Town Clerk on dispensation requests, along with 2 tabled late further applications received from Susan Pearson and Vivienne Littlechild. It was noted that the vast majority of the applications related to the Corporation's housing governance review.

The Sub Committee proceeded to discuss the applications, taking account of advice from the Comptroller and City Solicitor and also noting representations received from the Chairman of the Barbican Residential Committee and the Chairmen of the Barbican Association and Residents' Consultation Committee.

Members were mindful of the legal prohibition on any participation by members in matters where there is a conflict of interests and the member has a DPI. In those circumstances Parliament has provided that members must not participate unless one of the statutory grounds are met. The public perception of participation is a very relevant matter to be born in mind.

The Sub Committee also considered carefully the legitimate expectations of the relevant electorates in electing representatives to the Corporation and the ability of those representatives, who may share common interests with their electors, to nevertheless, make the electors voices heard. Members reminded themselves that the prohibition would not, for example, apply to a member

writing to a committee with a constituent's views, on any matter. It was also open to members to nominate another ward colleague or indeed any member of the Court of Common Council to speak on behalf of electors in circumstances where a DPI would preclude participation in any matter.

The Sub Committee considered whether to allow any application to vote in any matter before them. Members concluded, that the very high threshold of allowing a member to vote even though they had a DPI, was not met in any of the cases before them. The Sub Committee also noted that it was not possible for affected Members to vote on housing matters in any event by virtue of section 618 of the Housing Act 1985.

Having taken account of all relevant matters, the Sub Committee concluded that it was a legitimate expectation for members representing the Barbican who have a DPI because they live in the Barbican, that they should nevertheless be permitted to speak at the Barbican Residential Committee in relation to the housing governance review. The BRC constitution and terms of reference provided for resident member representation and the requirement for 'local democracy to be seen to be being done' weighed heavily. The Sub Committee were also persuaded by the representations from the Chairman of the Barbican Residential Committee, and also by the fact that the decisions on the outcome of the review itself would not rest with that Committee. This dispensation would be granted until 31 December 2019 or the conclusion of the current housing governance review, whichever is the earlier.

Members were not persuaded that requests to speak at other committees or sub committees on the housing governance review was made out, even for Chairmen of Committees concerned. Those applications were rejected.

In the case of application (k) below, the Sub Committee was of the view that the applicant had a significant interest as a leaseholder and occupier of a property affected by the matters in relation to which a dispensation had been requested and that it would therefore be inappropriate to grant a dispensation. It was again noted that opportunity would exist for the Member in question to communicate their constituent's views on a matter in writing or ask an alternate Member to speak.

Arising from the Sub Committee's detailed consideration of the applications it was

RESOLVED –

(a) Randall Anderson

Request to speak / and or vote on the housing governance review until the next ward elections in 2021 at the Community & Children's Services Committee, Barbican Residential Committee and other relevant meetings.

Decision:

Dispensation to **speak only** on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

(b) Alderman Ian Luder

Request to (i) speak on the housing governance review and to (ii) speak and vote on relevant aspects of housing fire safety and residents' safety post Grenfell at the Audit and Risk Management Committee, both until the next ward elections in 2021.

Decision:

Dispensation to **speak only** on relevant aspects of housing fire safety and residents' safety post Grenfell until next ward elections in 2021.

(c) Deputy Joyce Nash

Request to speak on the housing governance review until the next ward elections in 2021 at the Community & Children's Services Committee, Barbican Residential Committee and Policy & Resources Committee.

Decision:

Dispensation to **speak only** on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

(d) Alderman Alison Gowman

Request to speak and / or vote on the housing governance review until the next ward elections in 2021 at the Property Investment Board.

Decision:

Request rejected.

(e) Deputy David Bradshaw

Request to speak and vote on the housing governance review at the Barbican Residential Committee meeting on 17 September 2018.

Decision:

Dispensation to **speak only** on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

(f) Mary Durcan

Request to speak on (i) the housing governance review, (ii) Barbican Service Charge account and (iii) any other issues of importance on the agenda affecting constituency residents/electors where the disclosable pecuniary interest would otherwise prevent participation at the Barbican Residential Committee meeting on 17 September 2018.

Decision:

Dispensation to **speak only** on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

(g) Deputy Catherine McGuinness

Request to speak on the housing governance review at committees on which she serves, including Policy and Resources Committee (although she would not chair).

Decision:

Request rejected.

(h) Deputy John Tomlinson

Request to speak on the future and composition of the Barbican Residential Committee as part of the housing governance review at Barbican Residential Committee and other relevant committees until the next ward elections in 2021.

Decision:

Dispensation to **speak only** on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

(i) Mark Bostock

Request to speak and vote on the future and composition of the Barbican Residential Committee and the housing governance review at the Barbican Residential Committee and other relevant meetings until the next ward elections in 2021, or otherwise the Barbican Residential Committee on 17 September.

Decision:

Dispensation to **speak only** on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

(j) William Pimlott

Request to speak and vote on all Barbican matters that are considered until the next ward elections in 2021. No specific committees are identified.

Decision:

Dispensation to **speak only** on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

(k) Susan Pearson

Request to speak at Community and Children's Services Committee and Housing Management and Almshouses Sub-Committee on (i) matters regarding the primary school and social housing to be built on the Richard Cloudesley School site adjacent to Golden Lane; (ii) management and charges of Golden Lane Estate; (iii) renovation of housing blocks on Golden Lane Estate.

Decision:

Request rejected.

(l) Vivienne Littlechild

Request to speak on the future and composition of the Barbican Residential Committee at Barbican Residential Committee and other relevant committees until the next ward elections in 2021.

Decision:

Dispensation to **speak only** on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

4. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**
There was no further urgent business.

The meeting closed at 11.45 am

Chairman

Contact Officer: Martin Newton
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martin.newton@cityoflondon.gov.uk

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APPEAL SUB (STANDARDS) COMMITTEE

Wednesday, 25 July 2018

Minutes of the meeting of the Appeal Sub (Standards) Committee held at the Guildhall EC2 at 3.35 pm

Present

Members:

Michael Hudson

Jeremy Simons

Dan Large (Co-opted Member)

Officers:

Lorraine Brook

- Town Clerk's Department

Also Present: Jonathan Swift QC (legal adviser to the Sub-Committee)

[Those present agreed to delay the start time of the meeting for five minutes as one Member was not present at 3.30pm.]

[With the Sub-Committee's consent, the order of business was amended as below to allow for declarations of interest to be made by those present.]

1. ELECTION OF A CHAIRMAN

Those present considered the election of a Chairman.

RESOLVED:- That Dan Large (Co-opted Member) be elected as the Chairman of the Appeal Sub (Standards) Committee.

2. DECLARATIONS OF INTEREST IN RESPECT OF ITEMS ON THE AGENDA

Those present confirmed that as Members of the Standards Committee they had not, to date, had any involvement with the complaint at any stage of the complaints process.

3. QUESTIONS RELATING TO THE WORK OF THE SUB COMMITTEE

There were none.

4. ANY OTHER PUBLIC BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT

There was none.

5. EXCLUSION OF THE PUBLIC

RESOLVED:- That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the remaining items of business on

the grounds that they involve the likely disclosure of exempt information as defined in Part 1 (paragraphs 1 and 2) of Schedule 12A of the Local Government Act.

6. APPEAL PROCEDURE

The Sub-Committee considered a report of the Town Clerk relative to the procedure that would be followed by the Sub-Committee for an appeal by a Common Councilman against decisions of the Hearing Sub (Standards) Committee that there had been a breach of the Members' Code of Conduct and sanctions should be applied.

It was noted that as the complaint against the elected member had been considered under the City Corporation's former complaints procedure, rather than the new procedure which was implemented on 19 July 2018, the appeal process would also be managed based on the old procedure. Consequently, as there was no established procedure for the conduct of an appeal under the old complaints process, Members were asked to agree the procedure for hearing the appeal.

RESOLVED: - That –

- (i) the procedure for hearing the appeal by the Common Councilman by this Sub-Committee be approved and circulated to Members and all other relevant parties once drafted by Jonathan Swift QC (the Sub-Committee's legal adviser); and
- (ii) the appeal hearing be scheduled to take place on 27 or 28 September 2018 subject to the availability of an Independent Person and room availability.

7. ANY OTHER NON-PUBLIC BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT

There was none.

The meeting closed at 4.50 pm

Chairman

Contact Officer: Lorraine Brook
lorraine.brook@cityoflondon.gov.uk

ASSESSMENT SUB (STANDARDS) COMMITTEE

Tuesday, 21 August 2018

Minutes of the meeting of the Assessment Sub (Standards) Committee held at the Guildhall EC2 at 1.45 pm

Present

Members:

Ann Holmes (Chairman)
Deputy Jamie Ingham Clark

Dan Large (Co-opted Member)
Alderman Professor Michael Mainelli

Also Present: Chris Taylor (Independent Person)

Officers:

Lorraine Brook - Town Clerk's Department
Michael Cogher - Comptroller & City Solicitor
Edward Wood - Comptroller & City Solicitor's Department

1. ELECTION OF A CHAIRMAN

Having been moved and seconded, Ann Holmes was duly elected as Chairman for the purposes of the Sub-Committee meeting.

RESOLVED:- That Ann Holmes be elected as Chairman of the Assessment Sub (Standards) Committee.

2. SUB COMMITTEE TERMS OF REFERENCE

The Sub-Committee received its terms of reference.

RESOLVED:- That the terms of reference of the Assessment Sub (Standards) Committee be received.

3. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE ASSESSMENT SUB (STANDARDS) COMMITTEE

There were no questions and the Sub-Committee noted the complaints procedure (*"How Complaints to the City of London Corporation's Standards Committee Will Be Dealt With"*), the Members' Code of Conduct and the guidance on it.

RESOLVED:- That the complaints procedure (*"How Complaints to the City of London Corporation's Standards Committee Will Be Dealt With"*), the Members' Code of Conduct and the guidance on it be noted.

4. ANY OTHER PUBLIC BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT

There was none.

5. **EXCLUSION OF THE PUBLIC**

RESOLVED:- That, under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of schedule 12A of the Local Government Act.

6. **ALLEGED BREACH OF THE MEMBERS' CODE OF CONDUCT (A)**

The Assessment Sub-Committee considered a report of the Comptroller & City Solicitor relative to a complaint against a Member of Common Council which was received on 20th July 2018.

The Sub-Committee considered whether the allegation would, if proven, amount to a breach of the Members' Code of Conduct. In consideration of the allegation and the City Corporation's Assessment Criteria, it was agreed that this was the case and a formal investigation should therefore be undertaken by the Investigating Officer and the matter considered further by the Hearing Sub (Standards) Committee in accordance with the complaints procedure and the City Corporation's Standing Orders.

It was noted that the Member had requested from the Comptroller & City Solicitor access to various documents but, whilst acknowledging the rights of all those concerned, on the basis of legal privilege and data protection such information would not, at this stage, be made available other than the report of the Comptroller & City Solicitor.

RESOLVED:- That –

- (i) the report of the Comptroller & City Solicitor be noted;
- (ii) the matter be referred for a formal investigation to be undertaken by an independent investigator and the matter considered further by the Hearing Sub (Standards) Committee in accordance with the complaints procedure and the City Corporation's Standing Orders; and
- (iii) the disclosure of information, as requested by the Member in question, be refused at this stage, except for the report of the Comptroller & City Solicitor, as before the Assessment Sub (Standards) Committee.

7. **ALLEGED BREACH OF THE MEMBERS' CODE OF CONDUCT (B)**

The Assessment Sub-Committee considered a report of the Comptroller & City Solicitor relative to a complaint against a Common Councilman received on 22nd July 2018.

The Sub-Committee considered whether the allegation would, if proven, amount to a breach of the Members' Code of Conduct.

Having considered the complaint and the elected Member's written comments on it, the Sub-Committee was of the unanimous view, the Independent Person being in agreement, that his conduct amounted to a potential breach of paragraphs 2(l) and 2(m) of the Members' Code of Conduct. In light of the Member's subsequent actions the Sub-Committee agreed however that, in the circumstances, no further action should be taken.

RESOLVED:- That –

- (i) the report of the Comptroller & City Solicitor be noted; and
- (ii) no further action should be taken in respect of the complaint and the Member in question advised accordingly by the Comptroller & City Solicitor.

The meeting closed at 2.40 pm

Chairman

Contact Officer: Lorraine Brook

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TO: **STANDARDS COMMITTEE**
5th October 2018

FROM: **SPECIAL MEETING OF THE BARBICAN RESIDENTIAL COMMITTEE**
(CONVENED TO DISCUSS CAR PARK CHARGES)
21st June 2018

DISPENSATIONS

Resident Members of the BRC, who had not been granted dispensations, expressed their disappointment at having been granted them for previous BRC meetings. Furthermore, they had not been given an explanation as to why they had been refused this time. Whilst accepting that they might not be able to vote, resident and non-resident Members agreed that the Cripplegate and Aldersgate Ward Members should be allowed to represent the views of their constituents. Members were reminded that all meetings of the Standards and Dispensations Sub Committees were held in public and encouraged to attend if their dispensations were being heard.

The Chairman, also a Member of the Standards Committee, advised that Co-opted Standards Committee Members had raised this issue and the Standards Committee had taken a Resolution to Policy and Resources Committee in respect of the BRC's governance arrangements. The matter was also the subject of a question to the Court of Common Council later today.

Members noted that the BRC was carrying 5 non-resident vacancies, which compounded its' governance issues. In the event of today's Court question not answering the above points, it was Proposed by Jeremy Mayhew, Seconded by David Bradshaw and RESOLVED, that:

The Standards Committee be asked to justify why Members of the BRC had been refused dispensations to speak at today's meeting, given that they had been granted for previous meetings.

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TO: **STANDARDS COMMITTEE**
5th October 2018

FROM: **COMMUNITY AND CHILDREN'S SERVICES**
14 September 2018

DISPENSATIONS

Members experienced a rather edifying example of a Member attempting to comply with the Standards Committee's suggestion that residents, and electors, can be adequately represented by communicating their views through another Member. Members agreed that this proved to be something of a farce, when responses to comments led to further questions conveyed via that Member who eventually came to refer to himself as a 'ventriloquist dummy'.

Given that Community and Children's Services addresses many matters of substantial interest to residents in the City, it was the Committee's view that precluding those Members who represent residents from speaking on these issues leads inevitably to an inability to fully consider residents' interest.

Further, the Committee did not believe that hearing from Members who live in the City would cause any harm which the Standards laws and rules are intended to avoid. Members believe the current position causes harm to residents, particularly in the context of the City where many Members are not resident in the City and represent wards predominated by non-residents. Members also expressed concern that the breadth of the denial of dispensations contrasted unfavourably with the way in which apparently similar concerns related to Temple's commercial residents were dismissed. Therefore the Committee resolved to request, THAT -

the Standards Committee craft a set of dispensations that ensures that residents' interests can be fully represented by their elected representatives. To this end, Members of the Community and Children's Services Committee urge the Standards Committee to research the dispensations policies of nearby London Boroughs that seek to address this issue.

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TO: **STANDARDS COMMITTEE**
5th October 2018

FROM: **HOUSING MANAGEMENT AND ALMSHOUSES SUB COMMITTEE**
14 September 2018

DISPENSATIONS

Members who were also residents of the Barbican Estate had not been granted dispensations to speak or vote in respect of today's item of urgent business in respect of the Housing Governance Review.

The Town Clerk explained the rationale behind the above decision as follows:

After consultation with the Chairman and the Deputy Chairman of the Standards Committee, the Town Clerk rejected the request for a dispensation under urgency provisions to speak in connection with the housing governance review at the Housing Management and Almshouses Sub Committee.

At its meeting on Monday 10/9, the Dispensations Sub (Standards) Committee considered a number of dispensation requests, the vast majority of which related to the Housing Governance review. In summary, having taken account of all relevant matters, Members considered that applications to speak at the Barbican Residential Committee on the Housing Governance Review should be granted in view of the strong resident representation included in that Committee's constitution, the requirement for 'local democracy to be seen to be being done' on such a fundamental issue, and also as the final decision on the review itself would not rest with that Committee.

Members were not persuaded that requests to speak at other committees on the Housing Governance Review made as strong a case and considered that these should be rejected. The Sub Committee also noted that Members with a disclosable pecuniary interest could communicate their views on a matter to a committee or chairman in writing, or request an alternate member of that committee to put forward their views.

The Policy & Resources Committee expressed a desire to consult with and receive the views of those Committees which would be affected by any change. Potential options included the disbandment of the Housing Sub Committee, as it currently exists. However, because only half the Members of the Sub-Committee were allowed to speak on this, a proper and complete view could not be formulated by the Sub-Committee, on its own future, for a report to Policy and Resources. The Chairman therefore intended to seek the permission of the Chairman (of the Policy and Resources Committee) to address the Committee when they take a decision on Housing Governance; reflecting the views of those Members who were not granted a dispensation to speak at today's meeting of the Housing Management and Almshouses Sub Committee.

The Chairman expressed his concern at what he perceived to be an over-zealous interpretation of the Localism Act, unique to the City Corporation, resulting in only half of the Members of the Committee being able to speak and potentially disenfranchising residents. Members strongly endorsed this view and asked for their comments to go on

record and for it to be RESOLVED, that – these views be reported to the next meeting of the Standards Committee

Standards Committee – Outstanding Actions

Item	Date	Action	Officer responsible	To be completed/ progressed to next stage	Progress Update
1	6 October 2017	<u>Standards Regime Review</u>	Town Clerk / Comptroller and City Solicitor	Recommendations on the Framework approved by the Court of Common Council in March 2018.	New complaints procedure in operation since July 2018 following training of Standards Appeal Committee members.

Item	Date	Action	Officer responsible	To be completed/ progressed to next stage	Progress Update
2	26 January 2018	<u>Code of Conduct</u> Ease of access to code / visibility on website	Town Clerk / Comptroller and City Solicitor		<p>Revised copy of Code and guidance on it circulated to all Members of Court of Common Council as part of agenda for Court's meeting in March and then separately by email on 20 March with confirmation of approval. Revised complaints procedure sent to all Members in July 2018 (see above).</p> <p>Revised Code, guidance and complaints procedure available on website.</p> <p>Resolution from Standards Committee to PRED in June 2018 regarding ease of access to Code, etc on CoL website and that Committee:-</p> <p>RESOLVED, that:</p> <p>The visibility of the Members' Code of Conduct and associated documents on the City Corporation's website be improved to make them easy to find and readily accessible; and,</p> <p>Details of how the public make a complaint under the Code also be easy to locate on the website.</p>

Item	Date	Action	Officer responsible	To be completed/ progressed to next stage	Progress Update
3	April 2018	<u>Annual reminder to Members on updating registered interests</u>	Town Clerk		<p>Annual reminder process on updating interests for Members and Co-optees undertaken in Spring 2018.</p> <p>Co-optees who have not previously submitted a register of interests form advised that a form should be returned in 28 days and details would be published online. Publication of received declarations from co-optees in August 2018. Report elsewhere on agenda.</p>
4	Ongoing	<u>Member Standards Framework Training</u>	Comptroller / Town Clerk		<p>Training sessions held for Standards Appeal Committee members and briefing for Standards Committee to be held immediately prior to October meeting.</p>
5	May 2018	<u>Recruitment of Co-opted Member of the Committee</u>	Town Clerk		<p>Position advertised and closing date for applications 28 September 2018.</p> <p>Shortlisting and interviews to then take place.</p>

Item	Date	Action	Officer responsible	To be completed/ progressed to next stage	Progress Update
6	May 2018	<u>Dispensations Guidance for Chairmen</u>	Comptroller and City Solicitor		Delayed by subsequent work on review of dispensations policy, etc

Committee(s)	Dated:
Standards Committee	5 October 2018
Subject: Co-opted Members and Declarations of Interests	Public
Report of: Town Clerk	For Decision

Summary

At its last meeting in May the Committee considered a report on registration of interests by Co-opted Members and their online publication. It was noted that an annual update was underway and that co-optees' declarations of interest would then be published.

In August, the returned declaration of interest forms were published on the Corporation's website.

This report sets out details of outstanding forms by Committee and Members are asked to consider these details and decide what future steps to take on this matter.

Recommendations

The Committee is asked to consider the information set out in the report and decide what future steps to take on this matter.

Main Report

Background

1. The City Corporation's Code of Conduct for Members, adopted in its latest iteration by the Court of Common Council on 8 March 2018, applies to any Member of the City Corporation and any Member of a Committee of the City Corporation. The Code requires the registration of disclosable pecuniary interests, as specified in regulations made by the Secretary of State, together with certain non-pecuniary interests.
2. The Committee has considered previous reports concerning the registration of interests by Co-opted Members. In May 2015 the Committee noted that whilst the statutory provisions in relation to online registration only applied to those Co-opted Members who were entitled to vote on matters relating to the City Corporation's local authority or police authority functions, this did not preclude the register of interests of all Co-opted Members being made available online as a matter of policy. It was agreed that all Co-opted Members should be required to submit a register of interests' form, which

would be published online to promote greater consistency and transparency. The need to make Co-opted Members fully aware of the new approach to managing and publishing interests, and to afford them ample time to raise any queries, was acknowledged.

3. Subsequently, in October 2015, the Committee was advised that over 50% of Co-opted Members had not submitted a response and that many of those contacted had also expressed concern at plans to publish their interests, with some suggesting that they might resign over the matter. With regard to the technicalities around publishing the responses received, the Town Clerk reported that this had now been trialled and would be technically possible if the Committee were minded to proceed with this exercise. The Committee requested a paper for the following meeting detailing how each of the various Sub/Consultative/Grand Committees concerned were constituted and providing Members with some advice on how best to proceed in each case. The Committee requested that, in the interim, the Town Clerk contact all relevant Committee Chairmen requesting that they remind their Co-opted Members of the need to submit responses.
4. Further details of Co-opted Members by Committee were then considered by the Committee in May 2016. This included the number of Co-opted Members on each Committee, whether they were a legal requirement, whether they were involved in City Fund functions, whether they had voting rights, and the number of forms returned. The Committee requested that the Town Clerk write to all Co-opted Members as a final reminder regarding the completion of their declaration of interests forms before publishing all information received by the end of the calendar year. Following the Committee's decision in July 2016 to recommend to the Court that a review of the standards regime be undertaken, work on some associated framework issues, including interests' publication as detailed above, was temporarily paused pending the review's outcome.

2018 update on registration of interests

5. In May 2018, the Town Clerk commenced the annual exercise to contact Elected and Co-opted Members to remind them to review and update their existing declarations. Co-opted Members whose declarations were not currently held on file were invited to complete and return a form within 28 days. The Town Clerk informed Co-opted Members that declarations would be published on the City Corporation's webpages and completed returns were then published online during August.
6. The Committee were previously informed that they would receive a report back at its October meeting with an update on progress, together with the latest statistics on response rates for each Committee, to consider whether to review the overall policy and how best to address any non-compliance. The breakdown of outstanding numbers of disclosable pecuniary interest forms (out of 82) is set out below:-

Board of Governors of CLFS: 3

Board of Governors of CLSG: 2

Social Investment Board: 2

Keats House Consultative Committee: 2

Barbican Estate RCC: 7

Board of Governors of GSMD: 3

Property Investment Board: 1

Health and Social Care Scrutiny Committee: 1

An update will be made at the meeting on non-disclosable interest forms not returned.

Conclusion

7. The Committee is asked to note the current position and decide what future steps to take on this matter.

Martin Newton

Committee & Member Services Officer

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Agenda Item 9

Committee(s)	Dated:
Standards Committee	5 October 2018
Subject: Declaration of Gifts and Hospitality in relation to the special provision made for the Lord Mayor and Shrievalty as Ceremonial Office Holders	Public
Report of: Executive Director, Mansion House and Central Criminal Court Report Author: Vic Annells	For Information
Summary	
To update the Committee on the Lord Mayor and Shrieval declaration of gifts and hospitality for the year 2017/18.	
Recommendation(s)	
Members are asked to note the report.	

Main Report

Background

1. Following the meeting of Standards Committee on 20th February 2015 it was agreed that as of 1st April 2015 gifts and hospitality which breached the threshold, as applied to all Members, would be declared unless they fell within the caveat outlined within the previous report of 'special provision' for the Lord Mayor and Shrievalty as ceremonial office holders.
2. The last report on gifts and hospitality was made to the Committee at its meeting in October 2017.

Current Position

3. Since the last report, the Lord Mayor has made declarations at broadly three monthly intervals, declaring gifts and hospitality publicly via the internet page - <http://www.cityoflondon.gov.uk/about-the-city/the-lord-mayor/Pages/default.aspx> The gifts and hospitality are detailed on separate documents.
4. Members will be aware that the Shrievalty commences in September, therefore, the attached appendices summarise the Shrieval year of Alderman and Sheriff Timothy Hailes and Sheriff Neil Redcliffe.
5. The Lord Mayor and Shrievalty have no separate declarations to make to the Committee under the special provision. All gifts and hospitality have been declared in the attached appendices.

Appendices

- Appendix 1 - Gifts – Lord Mayor, November to April
- Appendix 2 - Gifts – Lord Mayor, May to July
- Appendix 3 - Gifts – Shrieval, September to August

- Appendix 4 – Hospitality – Lord Mayor, November to April
- Appendix 5 – Hospitality – Lord Mayor, May to July
- Appendix 6 – Hospitality – Shrieval, September to August

- Appendix 7 – Overseas Hospitality – Lord Mayor, Overseas November to September

Vic Annells

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APPENDIX 1 - DECLARATION OF GIFTS - LORD MAYOR (BOWMAN)

10th November 2017 to 30th April 2018

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
Visitors' Book	Grocers' Company	LM	10.11.2017	No
Silver Gilt Miniature of St Stephen Walbrook	Lime Street and Cornhill Ward Club	LM	10.11.2017	No
Engraved Silver photo frame	Chartered Accountants' Company	LM	10.11.2017	No
Framed letter	PricewaterhouseCoopers	LM	10.11.2017	No
Artisanal English tea set and a Book	Goodenough College	LM	10.11.2017	No
Framed painting by pupils	Mossbourne Parkside Academy	LM	10.11.2017	No
Piece of fabric from Holland and Sherry, sufficient to create a single two piece suit	Woolmen's Company	LM	10.11.2017	No
PwC Beanie	Georgina Haynes	LM	10.11.2017	No
Large rugby ball with CB name on	Old Buckenham Hall School	LM	11.11.2017	No
Framed ceramic poppy from HM Tower of London display	CS Hodges & Son	LM	11.11.2017	No
Basket of produce and model tractor	Farmers' Company	LM	11.11.2017	No
Book: Saving Pets, Changing Lives	PDSA	LM	11.11.2017	No
Bible	The Dean of St Paul's	LM	11.11.2017	No
Model Spitfire	RAF Air Cadets	LM	11.11.2017	No
Book: Hurricane Squadron Ace - Peter Burrows by Nick Thomas	Tom McMorrow	LM	11.11.2017	No
1475 (Dulwich) Squadron Air Cadets	Tie and socks	LM	11.11.2017	No
Sand picture, 2 Booklets on Lake Havasu and Commemorative coin	Michael McCulloch from Lake Havasu, Arizona	LM	12.11.2017	No
Smiggle Back Pack	John Cheston	LM	12.11.2017	No
Book: Stop the Rot by Bob Garratt	Bob Garratt, Past Master Management Consultant	LM	14.11.2017	No - post
Ceremonial tricorn hat	Feltmakers' Company	LM	14.11.2017	No
Book: History of the HAC	Court of Assistants of the HAC	LM	14.11.2017	Yes
Small silver pot	Mercers' Company	LM	14.11.2017	Yes
Book: The Baltic Exchange	Mr Lambros Varnavides, Chairman	LM	15.11.2017	Yes
Metro Stationery and Book: Fans not customers	Metro Bank	LM	16.11.2017	No
Picture of Grocers' Hall Garden	Grocers' Company	LM	16.11.2017	Yes
Pewter Tankards with Spirit of London Badge on	Master Mariners' Company	LM	17.11.2017	Yes

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
Model coopered barrel and bottle of whisky	Coopers' Company	LM	17.11.2017	Yes
Glass Plaque to mark opening	London Stock Exchange	LM	20.11.2017	No
Red and mother of pearl plate and Book on Qingdao	Mayor of Qingdao, China	LM	20.11.2017	Yes
Quill pen and 2 Wine Goblets	Scriveners' Company	LM	21.11.2017	Yes
2 Wine Goblets	Salters' Company	LM	21.11.2017	Yes
Book - Greater than Themselves, Laurence B Mussic	Mr William Smith, MD, Bank of Montreal	LM	24.11.2017	No - post
Silver Bottle coaster	Gold and Silver Wyre Drawers' Company	LM	24.11.2017	Yes
Book - Royal London Yacht Club (a history of)	Royal London Yacht Club	LM	27.11.2017	No
Book - Decorating Parliament	The Royal Fine Art Commission Trust	LM	27.11.2017	No
Book: Reflections of a Regiment - HAC in the First World War	William Chapman	LM	27.11.2017	No
Decorated fruit Cake and chocolates	Bakers' Company	LM	27.11.2017	Yes
Wooden hand cross	Moderator of the General Assembly of the Church of Scotland	LM	28.11.2017	Yes
Small brass cup and incense	HE The High Commissioner of India	LM	28.11.2017	No
Taiwan Plate and 2 Taipei Sand Puzzles	Vice Minister for Economic Affairs, Taiwan and Delegation	LM	30.11.2017	No
Ward Club Umbrellas	Lime Street and Cornhill Ward Club	LM	04.12.2017	No
Boar's Head, Butcher's Apron and Book: A Cut above the rest	Butchers' Company	LM	05.12.2017	No
Book: History of the Club	Flag Officer, Royal London Yacht Club	LM	05.12.2017	No
2 Paperweights	President of House of Representatives, Cyprus	LM	06.12.2017	No
A copy of the Qu'ran	HE The Ambassador of Saudi Arabia	LM	06.12.2017	No - post
4 prs gloves for LM and LMS	Glovers' Company	LM&LMS	06.12.2017	No
Souvenir book and paperweight	HMS Queen Elizabeth	LM	07.12.2017	No
Glass Tumbler with Department of State, USA crest on	HE The Ambassador of the United States of America	LM	07.12.2017	No
Set of playing cards	Makers of Playing Cards' Company	LM	07.12.2017	Yes
Book: 2 Hells to Happiness - Mitch and Joanna Komedara	Master, Makers of Playing Cards' Company	LM	07.12.2017	Yes

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
Mounted relief picture of castle	Saudi Arabia Stock Exchange, Riyadh	LM	11.12.2017	Yes
Large book on Sheikh Zayed Grand Mosque	Foreign Minister of Saudi Arabia	LM	11.12.2017	Yes
CD - Recording of Swiss Ambassador's Award Concert	HE The Ambassador of Switzerland	LM	12.12.2017	No
Glass model of ADNEC Capital Gate Building (boot shaped)	Chairman, Department of Finance, Abu Dhabi	LM	12.12.2017	Yes
Large Coin in wooden stand	Governor of the Central Bank, Abu Dhabi	LM	12.12.2017	Yes
Glass model of ADGM Building (boat shaped on 2 pillars)	Abu Dhabi Global Markets	LM	12.12.2017	Yes
Glass model of DFSA Building	Dubai Financial Services Authority	LM	13.12.2017	Yes
Glass model of DIFC Building	Dubai International Financial Centre	LM	13.12.2017	Yes
Book: Dubai, Capital of Islamic Economy	DIEDC, Dubai	LM	13.12.2017	Yes
Engraved Glass Plaque	Deloitte, Dubai	LM	13.12.2017	Yes
Book: History and Ale	Brewers' Company	LM	14.12.2017	Yes
Book - History of the Coachmakers	Coachmakers' Company	LM	14.12.2017	No
Book - The City of London White Book	Mr Jonathan Grosvenor	LM	14.12.2017	No
Book - Pablo Neuba: Passion for Life	HE The Ambassador of Chile	LM	15.12.2017	No
Wooden plate	Turners' Company	LM	18.12.2017	Yes
Book: Intense Art Magazine - Nigerian Art, Fashion and Design	Paul Carden, CEO FBN (UK) Ltd	LM	19.12.2017	No
Large Box of Chocolates and Cake	HE The Ambassador of UAE	LM	19.12.2017	No
3 Tins of flowery tea	HE The Ambassador of South Korea	LM	20.12.2017	No - post
Bottle of wine	HE The Ambassador of Slovenia	LM	20.12.2017	Post
Bottle of wine	Royal Society of St George, City Branch	LM	20.12.2017	Yes
Hamper	Operations Director, Britannia Petroleum Limited	LM	21.12.2017	No - post
2 Pieces of Batik cloth (black and red)	Governor of Sindh Province, Pakistan	LM	21.12.2017	No
Estonian food basket	HE The Ambassador of Estonia	LM	21.12.2017	No
Fortnum & Mason The Christmas at Highgrove Hamper	Reignwood Investments UK Ltd.	LM	22.12.2017	No - post
Bottle of Indian Single Malt Whisky	HE The High Commissioner of India	LM	22.12.2017	No - post

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
Bottle of Champagne	The Bank of China	LM	22.12.2017	No - post
Large Box of Chocolates	Foreign Minister of the UAE	LM	02.01.2018	No
Book: The Governance of China	HE The Ambassador of China	LM	08.01.2018	Yes
Regency Hamper of Chocolates and Treats	HE The Ambassador of the Republic of Iraq	LM	09.01.2018	No
Beehive in Africa and 2 candles	Wax Chandlers' Company	LM	10.01.2018	Yes
Commemorative Coin for The Balfour Declaration	HE The Ambassador of Israel	LM	11.01.2018	Yes
Bottle of Whisky and Tie	Lord Provost of Edinburgh	LM	16.01.2018	Yes
Bottle of Whisky	Lord Provost of Glasgow	LM	17.01.2018	Yes
Book: A Scottish Tradition	Kinloch Anderson	LM	17.01.2018	No - post
Bar of Toblerone	Mr Mark Beer, DIFCD	LM	18.01.2018	No
Book: History	Armourers and Brasiers' Company	LM	19.01.2018	Yes
Books x 2: Ramallah; Bethlehem	Minister for Economic Development, PEDCAR, OPT	LM	24.01.2018	Yes
Embroidered Copper panel	Governor, Palestinian Monetary Authority, OPT	LM	24.01.2018	Yes
Model of Shanghai Building and 2 rounds of tea	Shanghai National Accounting Institute	LM	29.01.2018	No
Smoked cut glass tumbler	Tokyo Metropolitan Government Delegation	LM	31.01.2018	Yes
Facimilie copy of 1611 Bible	City Deanery Synod	LM	31.01.2018	No
Apron	Skinners' Company	LM	01.02.2018	Yes
Cufflinks	HE The Ambassador of Peru	LM	02.02.2018	Yes
Presentation arrow	Fletchers' Company	LM	05.02.2018	Yes
White hankies with camel on	Master Grocer at Livery Schools Link event	LM	06.02.2018	No
Small cream concrete block with C chiselled by Lord Mayor	Livery Schools Link Event	LM	06.02.2018	No
Silver letter opener/skewer and Ladies gift - pocket torch.	Butchers' Company (RLM)	LM	09.02.2018	Yes
Football	Todd Greenberg, CE, National Rugby League, Sydney, Australia	LM	13.02.2018	Yes
Bottle of Wine	PwC, Melbourne, Australia	LM	15.02.3018	No
Business Card holder and frame	Melbourne Local Government, Australia	LM	15.02.2018	Yes
2 boxes of Punjabi kinnows	Pakistan Embassy	LM	16.02.2018	No
Ceramics in basket	Digital Nations 2030, Auckland, New Zealand	LM	19.02.2018	No
Book: Learning to dance Corporate Style	Frank Olsson, Auckland, New Zealand	LM	21.02.2018	No
Book: photographic record of float and the LM Show	Chartered Accountants' Company	LM	21.02.2018	No

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
3 x Books on Kazakhstan	HE The Ambassador of Kazakhstan	LM	28.02.2018	No
Wrist Watch	New Economic Forum, Madrid	LM	07.03.2018	No
Book on the Company	Haberdashers' Company	LM	08.03.2018	Yes
Book: The Trusted Executive by John Blakey	Julia Ronger, Digi.me - (Aus BisDel)	LM	09.03.2018	No
Book on the Company	Cordwainers' Company	LM	09.03.2018	Yes
Small lacquer screen with pictures of pandas	Chengdu TV	LM	12.03.2018	No
Small booklet of photos from Opening	Shanghai Pudong Bank	LM	16.03.2018	No - post
4 Bottles of Gin	City of London Gin Distillery	LM&LMS	16.03.2018	Yes
Red ceramic teapot and tea	Guosen Securities, Shenzhen	LM	19.03.2018	Yes
Large ceramic blue and white plate	Shenzhen Capital	LM	19.03.2018	Yes
Bottle of Whisky	Plumbers' Company	LMLT	21.03.2018	Yes
2 photos in frames of London Opening . Book of photos from current visit, Chinese plate	Shanghai Pudong Bank, Shanghai	LM	22.03.2018	Yes
White Tea set with tree design	Pudong New Area Party Secretary	LM	22.03.2018	Yes
Share certificate in presentation book	Chairman, Shanghai Stock Exchange	LM	22.03.2018	Yes
Porcelain figure of a dog	China Universal Asset Management, Shanghai	LM	22.03.2018	Yes
Relief picture of birds in frame	Shanghai Clearing House	LM	23.03.2018	Yes
Red clay tea pot	Mayor of Shanghai	LM	23.03.2018	Yes
Silk scroll	Mayor of Beijing	LM	27.03.2018	Yes
Book on Bank of China	Bank of China	LM	27.03.2018	Yes
Small Silver Grocers' Company Box	Mark Grove - Master, Cooks' Company	LM	02.04.2018	No - post
Engraved metal dish; chocolates; Book: Ghana	HE The Ghana High Commissioner	LM	03.04.2018	Yes
Book: The World At Their Fingertips	Geoff Boudreau	LM	06.04.2018	No - post
Goody Bag, 2 whisky Glasses and 2 miniature bottles of whisky	Distillers' Company	LM	10.04.2018	Yes
Challenge Coin	Global Cyber Alliance	LM	10.04.2018	No
Large Horse-shoe	War Horse Memorial Trust	LM	10.04.2018	No
Leather initialled holdall	Leathersellers' Company	LM	11.04.2018	Yes
Book: Leadership in Energy, a memoire on the life of Lord Ezra of Horsham	Fuellers' Company	LM	13.04.2018	Yes

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
CD: Photos of the Whittington Walk	Graham Holland - Chief Steward of Lord Mayor's Association Whittington Walk	LM	19.04.2018	No - post

APPENDIX 2 - DECLARATION OF GIFTS - LORD MAYOR (BOWMAN)

1st May to 31st July 2018

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
Bottle of Shiraz Wine	Ian Johnston, Dubai Financial Services Authority	LM	01.05.2018	No
Golden Key	Security Professionals' Company	LM	04.05.2018	Yes
Wrought Iron Box with wrought iron paper knife with ram head	Blacksmiths' Company	LM	04.05.2018	Yes
Metal statue of a bull and Maracas	Indonesia Stock Exchange	LM	09.05.2018	Yes
3 pieces of batik cloth	BRI Bank	LM	09.05.2018	Yes
Book of Rupiah currency	Deputy Governor of the Bank of Indonesia	LM	09.05.2018	Yes
Silver coloured Gunungan carving, framed	Pak Tiko, President Director of Madini Bank, Indonesia	LM	09.05.2018	Yes
Book: Do Good by Anne Bahr Thompson (signed)	via Judith Pleasance CC	LM	11.05.2018	No
Book: Verdien av Tillit	Deloitte, Oslo	LM	16.05.2018	No
Year's Membership	City University Club	LM	18.05.2018	Yes
Book: Britain and Bahrain - A Celebration of Friendship	Rupert Goodman - Chairman, FIRST	LM	22.05.2018	No
Pewter Bowl	Pewterers' Company at Pewter Live	LM	22.05.2018	No
Photobook of LM Big Curry Lunch	Mr Michael Hockney, Co-Chairman, LMBCL	LM	23.05.2018	No
Dual Bowl - Made by Saffar Crafts	Fahed Boodai - Chairman Gatehouse Bank	LM	23.05.2018	No
2nd Dual Bowl - Made by Saffar Crafts	Fahed Boodai - Chairman Gatehouse Bank	LM	24.05.2018	No
Silk scroll. Framed photo of LM and VIP	Delegation from Shanghai Pudong New Area People's Government	LM	24.05.2018	Yes
Large etching of Leadenhall Street	Tylers' and Bricklayers' Company	LM	24.05.2018	No
Book: Leatherbound history, 2 whisky glasses and a bottle of Glenmorangie Whisky	Painter Stainers' Company	LM	25.05.2018	Yes
Book: Chilean Trees around the World	HE The Ambassador of Chile	LM	25.05.2018	No
Pr of Spectacles each	Spectacle Makers' Company	LM&LMS	29.05.2018	No
Pepper Mill	Grocers' Company	LM	29.05.2018	No
Framed Message of Peace from the City / Mayor of Osnabruck	via cyclist John McGurk	LM	01.06.2018	No
Book: The Robin Hood Rules for Smart Giving by Michael Weinstein and Ralph Bradburd	Robin Hood Foundation, New York	LM	01.06.2018	Yes

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
Picture	Pageantmaster	LM	04.06.2018	No
Baseball Cap, Boston Red Sox	Boston Red Sox	LM	05.06.2018	Yes
Company Cufflinks for Gentlemen and Scarves for Ladies	Constructors' Company	LMLT&Lady	07.06.2018	Yes
1871 T-shirt and plaque	World Business, Chicago	LM	07.06.2018	Yes
Box of chocolates and box of 2 packs of cards.	Loriners' Company	LM	08.06.2018	Yes
Pollarding Saw	Chairman, Epping Forest and Commons Committee on visit to Burnham Beeches	LM	11.06.2018	Yes
Book: England and St George, a History of the Royal Regiment of Fusiliers; Regimental tie; mounted commemorative coin for Fusilier50	Col Jim Taylor, CO City of London Royal Regiment of Fusiliers	LM	12.06.2018	No
Bottle of Champagne	Mr Deepak Lalwani, Lalcap Ltd	LM	12.06.2018	No
Bottle of New Zealand Pinot Noir	Mr Josh Comrie from Ambitai, New Zealand	LM	12.06.2018	No
Silk Scroll	Communist Party Secretary of Shenzhen	LM	12.06.2018	Yes
2 chinese ceramic figures	Chen Mai, Shenzhen Capital Group Ltd	LM	12.06.2018	No
Book: Grant Macdonald - International Silversmith	Grant Macdonald - Founder of Grant Macdonald	LM	12.06.2018	No
Watercolour of Show Float	Lime Street and Cornhill Ward Club	LM	13.06.2018	Yes
Shaving / Bleeding Bowl	Barbers' Company	LM	14.06.2018	Yes
Book: History of the Ward	Langbourn Ward Club	LM	15.06.2018	Yes
Silver coloured bowl in the shape of Texas	Mayors of Dallas and Fort Worth	LM	18.06.2018	Yes
Pewter salt cellar	Pewterers' Company	LM	18.06.2018	Yes
2 Ties and pr of silver coloured pineapple cufflinks	Guild of Young Freemen	LM	19.06.2018	Yes
Eden Park Key ring and badge	Nick Saunter, CEO, Eden Park, New Zealand National Stadium	LM	19.06.2018	No
CD: Hodges Celebrates Armed Forces Day	Stan Hodges - CS Hodges	LM	20.06.2018	No
Replica Ceremonial Gong	Nigerian Stock Exchange	LM	25.06.2018	No
Book: Perspectives of London	Amanda Gupwell - Executive Director at CBRE UK	LM	28.06.2018	No
Basket of market fruit	Spitalfields Market Tenants' Association	LM&LMS	03.07.2018	Yes
Photo of LM in coach	Andrew Sillett, On Sight Photographers	LM	04.07.2018	No
Mulberries and Recipe Book	The Master, Charterhouse	LM	06.07.2018	Yes

Gift	Donor	Recipient	Date received	Did LM GIVE gift at the time of receipt? Yes/No
T-shirt and scarf	Governor of Tokyo	LM	09.07.2018	Yes
Bamboo picture of lake in Xiong'an	Delegation from Xiong'an	RLM	11.07.2018	No
Mother of Pearl inlaid lacquer jewellery box	KOFIA, South Korea	LM	13.07.2018	Yes
Mother of Pearl inlaid lacquer musical Box	Deputy Prime Minister, South Korea	LM	13.07.2018	Yes
Inscribed glass jug with silver collar	Water Conservators' Company	LM	16.07.2018	No
Gloves for Cart Marking	Master Glover	LM	18.07.2018	No
Book: Carr and Carman by Clive Birch	Master Carman at Cart Marking	LM	18.07.2018	No
Book: History of the Company	The Gunmakers' Company	LM	18.07.2018	Yes
Book: A Walk for The Queen	Hugo Vickers (at Gunmakers' Lunch)	LM	18.07.2018	No
Score of "A Song for the Lord Mayor" and CD	Commonwealth Youth Choir	LM	18.07.2018	No
4 x tickets to the theatre	Chartered Accountants' Company	LM	18.07.2018	Yes
Book: Billingsgate Market Cookbook, and engraved glass tankard	Billingsgate Market - Chairman and Superintendent	LM	25.07.2018	Yes
Collage picture of Bumble Bee	Pro-Manchester	LM	26.07.2018	Yes
Fighting Oryx with arabic clock	HH The Amir of Qatar	LM	Delivered 27.07.2018	Yes
Pr cufflinks	AES Engineering, Sheffield	LM	28.07.2918	Yes
Pen Knife	Company of Cutlers' in Hallamshire	LM	28.07.2018	Yes
Meat, various	Smithfield Market Tenants' Association	LM&LMS	31.07.2018	Yes

APPENDIX 3 - DECLARATION OF GIFTS – SHRIEVALTY (HAILES and REDCLIFFE)

September 2017 to August 2018

Gift	Donor	Recipient
Set of 10 late 19th century champagne glasses	WC of Girdlers	TH
Silver Salver Serving Tray	WC of International Bankers	TH
Pewter Jug	WC of Pewterers	TH
Wine Coaster	City Livery Club	TH
Silver Picture Frame	Guild of Freemen	TH
A Pair of Gloves	WC of Glovers	TH
A Quill Pen and cross ink pen	WC of Scriveners	TH
A Pack of Playing Cards	WC of Playing Card Makers	TH
Membership, Ten Trinity Square	Reignwood Group (UK) Limited	TH
A box of chocolates and moon cakes	Mr Yu Sun, Bank of China	TH
Membership KPMG Private Client Reception Facilities	KPMG	TH
A hamper of cheeses	Imperial Corporate Capital PLC	TH
260 bottles of “Sheriff Hailes” branded gin	The City of London Gin Distillery	TH
Small glass tumbler	WC of Distillers	TH
A commemorative coin	The East India Club	TH
Silver Photo Frame	Guild of Freeman	TH
Bottle of Beaujolais Wine	City Livery Club	TH
Guest Book	WC of Butchers	TH
Wicker Dog	WC of Basketmakers	NR
Guest Book	WC of World Traders	NR
Guest Book	Bishopsgate Ward Club	NR
Silver Photo Frame	Guild of Freemen	NR
Willow Sculpture	WC of Basketmakers	NR

Gift	Donor	Recipient
Wine Coaster	City Livery Club	NR
A Pair of Gloves	WC of Glovers	NR
A Quill Pen and cross ink pen	WC of Scriveners	NR
A Pack of Playing Cards	WC of Playing Card Makers	NR
Bottle of Beaujolais Wine	City Livery Club	NR
Book – ‘A Cut above the Rest’	WC of Butchers	NR
Book – ‘The HAC 1537-1987’	Honourable Artillery Company	NR
Cuff Links	Aldgate Ward Club	NR
A commemorative coin	The East India Club	NR

APPENDIX 4 - DECLARATION OF HOSPITALITY
Lord Mayor – Charles Bowman

10th November 2017 – 30th April 2018

DATE	EVENT	LOCATION
14/11/2017	Gresham Dinner of Mercers (LM, SH1, SH2)	Mercers' Hall
15/11/2017	Carpenters' Company Dinner (LM, SH1, SH2)	Carpenters' Hall, Throgmorton Avenue, London, EC2N
16/11/2017	Grocers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Grocers' Hall
17/11/2017	Coopers' Company Banquet (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
21/11/2017	Salters Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Salters' Hall
22/11/2017	Stationers' Company Civic Dinner inc travel (LM, LMS, SH2, C2)	Stationers' Hall
24/11/2017	Gold and Silver Wyre Drawers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
27/11/2017	Bakers' Company Banquet (LM, SH1, SH2)	Egyptian Hall
07/12/2017	Makers of Playing Cards Company Banquet (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
11/12/2017	Guild of Freemen: Banquet (LMLT – Alderman Sir David Wootton, SH1, SH2, C1, C2)	Guildhall
11/12/2017	Dinner in aid of Cancer Research UK (RLM – William Russell)	Egyptian Hall
13/11/2017	Cutlers' Company Boar's Head Feast (SH1, SH2 -representing LM, C2)	Cutlers' Hall, Warwick Lane, London, EC4
13/11/2017	Fan Makers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
18/12/2017	Turners' Company Livery Dinner (LM, SH1, SH2)	Skinners' Hall
20/12/2017	Royal Society of St George Banquet (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
12/01/2018	Dinner with Australian High Commissioner (LM, SH2)	HC's Residence, Stoke Lodge, Kensington
19/01/2018	Armourers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Armourers' Hall, 81 ColemanStreet, London, EC2
20/01/2018	Australia Day Gala Dinner (LM, LMS)	Australia House, Strand, London, WC2B 4LA
22/01/2018	Arts Scholars' Company Dinner (LMLT – Alderman Ian Luder)	Carpenters' Hall
25/01/2018	Pattenmakers' Company Dinner (LMLT – Alderman Lord Mountevans, SH1, SH2, C1, C2)	Egyptian Hall
30/01/2018	Livery Academy Awards (LM, LMS, SH1, C1)	Egyptian Hall
01/02/2018	Skinners' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Skinners' Hall, Dowgate Hill, London, EC4

02/02/2018	HAC Mess Club Dinner (LM, LMS, SH1)	Honourable Artillery Company, Armoury House, City Road, London, EC1
02/02/2018	Farewell Dinner for Chair of Council, City University of London (LMAR – Alderman Vincent Keaveny)	Salon
05/02/2018	Fletchers' Ladies' Banquet (LM, LMS, SH1, SH2, C1, C2)	Grocers' Hall, Prince Street, London, EC2
07/02/2018	Ironmongers' Company Dinner (LM, SH1, SH2)	Ironmongers' Hall, Barbican, London
09/02/2018	Butchers' Company Dinner (SH1 – representing LM, C1, C2)	Inner Temple, London, WC2
14/02/2018	City Music Foundation Valentine's Day Dinner (LMLT – Alderman Sir Roger Gifford)	Egyptian Hall
15/02/2018	Gardeners' Company Dinner (LMLT – Alderman Lord Mountevans)	Egyptian Hall
22/02/2018	Fruiterers' Company Dinner (SH1 – representing LM, C1)	Egyptian Hall
22/02/2018	Dinner following World Traders' Tacitus Lecture (RLM – Alderman Dame Fiona Woolf)	Wax Chandlers' Hall
26/02/2018	Needlemakers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
27/02/2018	International Bankers' Company Dinner (SH1 – representing LM, SH2, C1, C2)	Guildhall
27/02/2018	Fishmongers' Company Dinner - (LM, LMS)	Fishmongers' Hall, London Bridge, London, EC4
28/02/2018	Old Uppinghamian Dinner (LM, LMS)	Egyptian Hall
02/03/2018	Masons' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
06/03/2018	CISI City Debate (LMLT - Alderman Sir Alan Yarrow)	Egyptian Hall
07/03/2018	Guildhall Lodge Dinner (LMLT - Alderman Sir David Wootton, SH1, SH2)	Egyptian Hall/ Old Ballroom
08/03/2018	Haberdashers' Company Dinner - inc travel (LM, LMS, SH1, SH2, C1, C2)	Haberdashers Hall, 18 West Smithfield, London
09/03/2018	Cordwainers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Clothworkers' Hall, Dunster Court, London
12/03/2018	CoL & Industry and Parliament Trust Dinner inc travel (LM)	House of Commons, Westminster, London
13/03/2018	Carmen's Company Dinner (LM, LMS, SH1)	Egyptian Hall
19/03/2018	Innovate Finance Global Summit 2018 Dinner (RLM - Alderman William Russell)	Egyptian Hall
21/03/2018	Plumbers' Company Dinner (LMLT - Alderman Dame Fiona Woolf and Mr Nicholas Woolf)	Egyptian Hall
22/03/2018	Solicitors' Company Dinner (LMLT - Alderman Dame Fiona Woolf and Mr Nicholas Woolf, SH2, C2)	Egyptian Hall
04/04/2018	Insurers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
05/04/2018	Norway Capital Markets Dinner (LM)	Guildhall, London

09/04/2018	Clothworkers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Clothworkers' Hall, Dunster Court, London
09/04/2018	CISI Dinner 2018 (RLM - Alderman Sir Alan Yarrow)	Long Parlour
10/04/2018	Insurance Dinner in Aid of the Lord Mayor's Appeal (LM, LMS)	Guildhall, London
11/04/2018	Leathersellers' Company Dinner - inc travel (LM, SH1, SH2)	Leathersellers' Hall, St. Helen's Place, London
12/04/2018	City, University of London Rector's Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
16/04/2018	Commonwealth Business Forum Dinner with Lord Marland (LM)	Brooks Club, St James's Street, London
18/04/2018	PwC Brexit Dinner inc travel (LM)	Simpson's-in-the-Strand, 100 Strand, London
20/04/2018	RAF Centennial Banquet (LM, LMS, SH1, C1)	Guildhall, London
21/04/2018	The Queen's Birthday Party Concert (RLM - Alderman Sir David Wootton and Lady Wootton)	Royal Albert Hall, Kensington Gore, Kensington, London
26/04/2018	Environmental Cleaners' Dinner (LMLT - Alderman the Lord Mountevans, The Lady Mountevans)	Egyptian Hall

APPENDIX 5 - DECLARATION OF HOSPITALITY
Lord Mayor – Charles Bowman

1st May 2018 – 31st July 2018

DATE	EVENT	LOCATION
01/05/2018	Business, Trade and Brexit Dinner with Rt Hon Dr Liam Fox (LM)	Chatham House
02/05/2018	Actuaries' Company Banquet (LM, LMS, SH1, C1, C2)	Egyptian Hall
04/05/2018	Blacksmiths' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
08/05/2018	Made in Egypt Embassy Gala Dinner (SH2)	Egyptian Hall
09/05/2018	Cooks' Company Civic Dinner - inc travel (RLM - Alderman the Lord Mountevans)	Innholders' Hall, 30 College Street, London
09/05/2018	Weavers' Company Ladies' Dinner (LMLT - Alderman Sir Andrew Parmley and Lady Parmley, SH1, SH2, C1, C2)	Egyptian Hall
14/05/2018	Furniture Makers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
15/05/2018	Festival of The Sons of The Clergy Dinner (LMLT - Alderman Dame Fiona Woolf, Mr Nicholas Woolf, SH1, SH2, C1, C2)	Merchants Taylors' Hall, 30 Threadneedle Street, London
16/05/2018	Dinner with Dr Moran (LM, SH1, SH2)	Crosby Hall, Cheyne Walk, London
17/05/2018	Arbitrators' Company Dinner (RLM - Alderman Dame Fiona Woolf and Mr Nicholas Woolf, SH1, C1)	Egyptian Hall
18/05/2018	Farriers Company Banquet (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
22/05/2018	Irish Entrepreneur of the Year "CEO Retreat" Dinner (RLM - Alderman Sir Andrew Parmley)	Shard, London
22/05/2018	Wheelwrights' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
23/05/2018	Plasterers' Company Annual Banquet (LM, LMS, SH1, SH2, C1, C2)	Plasterers' Hall
25/05/2018	Painter Stainers' Barnett Dinner (LM, LMS, SH1, C1)	Painters' Hall, 0 Little Trinity Lane, London
29/05/2018	Grocers' Commemoration Feast 2018 (LM, LMS)	Egyptian Hall
06/06/2018	Chartered Secretaries' Company Dinner (LMLT - Alderman Sir Andrew Parmley and Lady Parmley, Lady)	Egyptian Hall
07/06/2018	Constructors' Company Sir Christopher Wren Banquet (LMLT - Alderman Sir David Wootton, Lady Wootton, SH1, SH2, C1, C2)	Egyptian Hall
07/06/2018	Mansion House Scholarship Scheme Fundraising Dinner (RLM - Alderman Sir Andrew Parmley and Lady Parmley)	Mercers' Hall, London
08/06/2018	Loriners' Company Banquet (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
09/06/2018	Ironbridge Weekend Dinner (LM, LMS, SH1, C1, SH2, C2)	Ironbridge, Shropshire

13/06/2018	Lime Street & Cornhill Ward Club Dinner (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
14/06/2018	Barbers' Company Dinner (LM, LMS, SH2, C2)	Barber Surgeons Hall, Monkwell Square, London
18/06/2018	Pewterers Company Dinner inc travel (LM, LMS, SH1, SH2, C1, C2)	Pewterers' Hall, Oat Lane, London
20/06/2018	Institute of Directors City of London Branch Dinner (LM, LMS from 1930, SH1, SH2, C1, C2)	Egyptian Hall
26/06/2018	St John of Jerusalem Eye Hospital Dinner (LMLT - Alderman Dame Fiona Woolf and Mr Nicholas Woolf)	Guildhall
26/06/2018	PwC Network Leadership Team Global Board Dinner (RLM - Alderman William Russell)	Long Parlour
02/07/2018	Girdlers' Company Dinner (LM, LMS, SH1, SH2, C1, C2)	Girdlers' Hall, Basinghall Avenu, London
06/07/2018	Winter Gardens' 140th Celebratory Dinner (RLM - Alderman Sir Andrew Parmley and Lady Parmley)	Blackpool, Lancashire
06/07/2018	Lightmongers' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
10/07/2018	Tin Plate Workers' Company Installation Banquet (LMLT - Alderman Sir David Wootton, Lady Wootton, SH1, SH2, C1, C2)	Egyptian Hall
12/07/2018	Epping Forest Dinner (RLM - Alderman Sir Roger Gifford, LMS, C1)	Grocers' Hall, Princes Street, London
16/07/2018	Green Finance Summit Dinner (LM)	Guildhall
18/07/2018	Chartered Accountants' Company Dinner (LM, LMS, SH1, C1)	Egyptian Hall
24/07/2018	Post-Visit Dinner with HE The Ambassador of USA inc travel (LM)	Winfield House, Regent's Park, London
25/07/2018	Marketors' Company Banquet (LM, LMS, SH1, SH2, C1, C2)	Egyptian Hall
27/07/2018	Cutlers' in Hallamshire Forfeit Feast (LM, LMS, SH1, SH2, C1, C2)	Cutlers' Hall, Church Street, Sheffield

**APPENDIX 6 - DECLARATION OF HOSPITALITY
Sheriffs and Consorts**

28th September 2017 – 31st August 2018

DATE	EVENT	LOCATION
28/09/2017	Paviors Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
03/10/2017	Glovers' Company Banquet (SH1, SH2, C1, C2)	Egyptian Hall
04/10/2017	City Banquet (LM, LMS, SH1, SH2)	Egyptian Hall
05/10/2017	Horners' Company Banquet (SH1, SH2, C1, C2)	Egyptian Hall
06/10/2017	Pikemen and Musketeers Ladies Night Ye Feast (SH2, C2)	Armoury House, City Road, London
09/10/2017	Security Professionals' Company Annual Dinner, (presentation of the Sheriffs' Award for Bravery) (SH2)	Merchant Taylors' Hall
09/10/2017	Baker McKenzie Wealth Management Gala Reception (non Shrieval) (SH1)	The National Gallery, Trafalgar Square, London
11/10/2017	Information Technologists Dinner (SH1, SH2, C1, C2)	Egyptian Hall
12/10/2017	Distillers' Company Banquet (SH1, SH2, C1, C2)	Egyptian Hall
16/10/2017	Presentation Dinner for the LME (SH1, SH2, C1, C2)	Grocers' Hall
17/10/2017	Investment Association Annual Dinner (SH2)	Mansion House
18/10/2017	Broderers' Company Dinner (SH1, SH2)	Mercers' Hall, Ironmonger Lane, London
20/10/2017	Firefighters' Company St. Florian's Installation Banquet (SH1)	Saddlers' Hall
24/10/2017	Bloomberg Opening Dinner (SH1)	Bloomberg Building
25/10/2017	Woolmen Civic Dinner (SH1, SH2, C1, C2)	Clothworkers' Hall
26/10/2017	Vintners' Company Dinner (SH1, SH2)	Vintners' Hall
27/10/2017	Builders Merchants' Installation Dinner (SH2, C2)	Egyptian Hall
27/10/2017	Engineers' Company Dinner (SH1, C1)	Guildhall
30/10/2017	United Wards' Club Founders' Day Dinner (SH1, C1)	Barber Surgeons' Hall, London
31/10/2017	Lighting Up Dinner (SH1,SH2, C1, C2)	Guildhall
01/11/2017	Shipwrights' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
03/11/2017	Diamonds Past Masters' Dinner (C1)	Mansion House
03/11/2017	Turners' Company Livery Dinner (SH1, SH2)	Skinners' Hall
07/11/2017	Appeal Grand Finale Dinner (SH1, SH2, C1, C2)	Guildhall
08/11/2017	The Rifles' Biennial Regimental Awards Dinner (SH1)	Guildhall
09/11/2017	Goldsmiths' Company Dinner (SH1, SH2, C1, C2)	Goldsmiths Hall, Foster Lane
14/11/2017	Gresham Dinner of Mercers (SH1, SH2)	Mercers' Hall

15/11/2017	Carpenters' Company Dinner (SH1, SH2)	Carpenters' Hall, Throgmorton Avenue, London
16/11/2017	Grocers' Company Dinner (SH1, SH2, C1, C2)	Grocers' Hall
17/11/2017	Coopers' Company Banquet (SH1, SH2, C1, C2)	Egyptian Hall
20/11/2017	Lord Mayor's Appeal Launch Reception and Dinner (SH1, SH2, C1, C2)	Mansion House
21/11/2017	Salter's Company Dinner (SH1, C1, SH2)	Salter's Hall
22/11/2017	Stationers' Company Civic Dinner (SH2, C2)	Stationers' Hall
24/11/2017	Gold and Silver Wyre Drawers' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
27/11/2017	Bakers' Company Banquet (SH1, SH2)	Egyptian Hall
28/11/2017	Basketmakers, Masters and Clerks Dinner (SH2)	Skinners' Hall
29/11/2017	Cook Society Christmas Dinner (SH2, C2)	Royal Air Force Club
30/11/2017	Paviors' Company Autumn Dinner (SH2, C2)	Merchant Taylors' Hall
06/12/2017	Apothecaries' Society 400th Anniversary Banquet (SH1 speech, SH2, C1, C2)	Guildhall
07/12/2017	Makers of Playing Cards Company Banquet (SH1, SH2, C1, C2)	Egyptian Hall
11/12/2017	Guild of Freeman: Banquet (SH1, SH2, C1, C2)	Guildhall
12/12/2017	Pewterers Court Meeting, Carol Service and Festive Dinner (SH1)	Pewterers Hall/ St. Vedast Church
13/12/2017	Cutlers' Company, Feast of the Boar's Head (SH1, SH2, C2)	Cutlers' Hall
14/12/2017	Fan Makers' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
18/12/2017	Turners' Company Livery Dinner (SH1, SH2)	Skinners' Hall
19/12/2017	HAC Court Christmas Dinner (Sh1) (Lounge Suit)	HAC
20/12/2017	Royal Society of St George Banquet (SH1, SH2, C1, C2)	Egyptian Hall
08/01/2018	Feltmakers' Company Plough Monday Dinner (SH1, SH2)	Cutlers' Hall
10/01/2018	Dyers' Company City Dinner (SH1, SH2)	Dyers' Hall
12/01/2018	Dinner with Australian High Commissioner (SH2)	HC's Residence, Stoke Lodge, Kensington
19/01/2018	Armourers' Company Dinner (SH1, SH2, C1, C2)	Armourers' Hall, 81 Coleman Street, London
23/01/2018	Australia Day Gala (SH2, C2)	The Palm Court, The Waldorf Hilton, Aldwych
24/01/2018	Tobacco Pipe Makers' Company January Dinner (SH1, C1)	Goldsmiths' Hall
25/01/2018	Pattenmakers' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
26/01/2018	Guild of Beadles Dinner (C1)	HAC
30/01/2018	Livery Academy Awards (SH1, C1)	Egyptian Hall
31/01/2018	Basketmakers' Company Dinner (SH2, C2)	n/s
01/02/2018	Skinners' Company Dinner (SH1, SH2, C1, C2)	Skinners' Hall, Dowgate Hill, London

02/02/2018	HAC Mess Club Dinner (SH1)	HAC, Armoury House, City Road, London
05/02/2018	Fletchers' Company Ladies' Banquet, (SH1, C1, SH2, C2)	Fletchers' Hall
07/02/2018	Ironmongers' Company Dinner (SH1, SH2)	Ironmongers' Hall
09/02/2018	Butchers' Company Dinner (SH1, C1, C2)	Inner Temple
20/02/2018	Upholders Dinner (C2)	Armourers' Hall
20/02/2018	Founders' Civic Dinner (SH1)	Trinity House
22/02/2018	Fruiterers' Company Banquet (SH1,C1)	Egyptian Hall
26/02/2018	Needlemakers' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
27/02/2018	International Bankers' Company Dinner (SH1, SH2, C1, C2)	Guildhall
28/02/2018	Mercers dinner (SH2, C2)	Mercers Hall
02/03/2018	Masons' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
06/03/2018	Saddlers' Spring Court and Livery Dinner (SH1,SH2)	Saddlers' Hall, 40 Gutter Lane
07/03/2018	Guildhall Lodge Meeting and Dinner (SH1, SH2)	Egyptian Hall
08/03/2018	Haberdashers' Company Dinner (SH1, SH2, C1, C2)	Haberdashers Hall, 18 W
09/03/2018	Cordwainers' Company Dinner (SH1, SH2, C1, C2)	Clothworkers' Hall
12/03/2018	Annual Dinner of the Board of Governors of the City of London Freemen's School (SH1)	Clothworkers' Hall, Dunster Court, London
13/03/2018	Britain-Australia Society President's Dinner (SH2, C2)	Charterhouse, Charterhouse Square
13/03/2018	Carmen's Company Dinner (SH1)	Egyptian Hall
14/03/2018	Finance Committee Dinner (SH1, SH2)	Mercers' Hall
19/03/2018	WC Chartered Surveyors Spring Livery Dinner (SH2, C2)	Merchant Taylors' Hall
21/03/2018	Drapers' Company Livery Dinner (SH2, C2)	Drapers' Hall
22/03/2018	Solicitors' Company Dinner (SH2, C2)	Mansion House
27/03/2018	Dinner (SH2, C2) - World Traders	Old Bailey
04/04/2018	Insurers' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
09/04/2018	Clothworkers' Company Dinner (SH1, SH2, C1, C2)	Clothworkers' Hall, Mincing Lane, London
11/04/2018	Leathersellers' Livery Dinner (SH1,SH2)	Leathersellers' Hall
12/04/2018	City, University of London Rector's Dinner (SH1, SH2, C1, C2)	Egyptian Hall
17/04/2018	Common Wealth Business Banquet (SH1, SH2)	Guildhall
17/04/2018	Fletchers Installation Dinner (C2)	Farmers and Fletchers Hall, 3 Cloth Street
20/04/2018	RAF Centennial Banquet (SH1, C1)	Guildhall, London
23/04/2018	Royal Society of St George – St George's Day banquet (SH2, C2)	Drapers Hall
25/04/2018	Bristol Grammar School Dinner (SH1)	City Land, JDR dinner
26/04/2018	Environmental Cleaners' Installation Banquet (SH1, C1)	Mansion House
26/04/2018	World Traders Dinner (SH2 & C2)	Carpenters' Hall

02/05/2018	Actuaries' Company Banquet (SH1, C1, C2)	Egyptian Hall
03/05/2018	Coopers' Hall Dinner (SH2, C2)	n/s
04/05/2018	Blacksmiths' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
08/05/2018	Made in Egypt Embassy Gala Dinner (SH2)	Egyptian Hall
08/05/2018	Patchwork Foundation Masterclass Dinner: Sir Lynton Crosby (SH1)	Clifford Chance, London
08/05/2018	Representation at 'Made in Egypt Gala Dinner'(SH2)	Mansion House
09/05/2018	Weavers' Company Ladies' Dinner (SH1, SH2, C1, C2)	Egyptian Hall
12/05/2018	Dinner with the Lord Mayor of Westminster (SH1)	In & Out Naval and Military Club, London
14/05/2018	Furniture Makers' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
15/05/2018	Festival of The Sons of The Clergy Dinner-inc travel (SH1, C1, SH2, C2)	Merchant Taylors' Hall
16/05/2018	Dinner with Dr Moran (SH1, SH2)	Crosby Hall, Cheyne Walk
17/05/2018	Arbitrators' Company Dinner (SH1, C1)	Egyptian Hall
17/05/2018	Tallow Chandlers' Lord Mayor's Dinner (SH2, C2)	Tallow Chandlers' Hall
18/05/2018	Farriers Company Banquet (SH1, SH2, C1, C2)	Egyptian Hall
21/05/2018	Royal Society of St George Installation Dinner (SH2, C2)	Painter Stainers' Hall
22/05/2018	Wheelwrights' Company Dinner, (SH1, SH2, C1, C2)	Mansion House
23/05/2018	Plasterers' Company Annual Banquet (SH1, SH2, C1, C2)	Plasterers' Hall
25/05/2018	Painter Stainers' Barnett Dinner (SH1, C1)	Painters' Hall, 9 Little Trinity Lane
30/05/2018	Solicitors' Company Royal Charter Celebration (SH1)	Guildhall
01/06/2018	Feltmakers' Dinner (SH1, SH2, C1, C2)	Drapers' Hall
04/06/2018	Worshipful Company of Bakers Summer Banquet (SH1, C1)	Hall of the Honourable Society of Grays' Inn
06/06/2018	The Cook Society Gala Dinner (SH2, C2)	The Charterhouse, Charterhouse Square, London
07/06/2018	Constructors' Company Sir Christopher Wren Banquet (SH1, SH2, C1, C2)	Egyptian Hall
08/06/2018	Loriners' Company Banquet (SH1, SH2, C1, C2)	Egyptian Hall
09/06/2018	Ironbridge Weekend Dinner (SH1, C1, SH2, C2)	Ironbridge, Shropshire
12/06/2018	Red Cross Dinner (SH1,C1, SH2, C2)	JDR
13/06/2018	Lime Street & Cornhill Ward Club Dinner (SH1, SH2, C1, C2)	Egyptian Hall
14/06/2018	Barbers' Company Dinner (SH2, C2)	Barber Surgeons Hall
15/06/2018	Society of Apothecaries of London Court Dinner (SH2, E2)	Apothecaries' Hall
18/06/2018	Pewterers Company Dinner (SH1, SH2, C1, C2)	Pewterers' Hall, Oat Lane

20/06/2018	Institute of Directors City of London Branch Dinner (SH1, SH2, C1, C2)	Mansion House
26/06/2018	Dinner with Master and Mistress Mercer to celebrate their 25th Wedding Anniversary (SH2 & C2)	Mercers' Hall
26/06/2018	Curriers Midsummer Livery Dinner (SH1, C1)	Girdlers' Hall
27/06/2018	Guild of Freeman Reception & Dinner (SH2, C2)	Grocers' Hall
28/06/2018	WC Pewterers' Court Summer Dinner (C1)	Pewterers' Hall, Oat Lane
02/07/2018	Girdlers' Company's Court Ladies' Dinner (SH1,SH2,C1,C2)	Girdlers' Hall
03/07/2018	International Bankers Dinner (SH1)	Old Bailey, JDI
06/07/2018	Lightmongers' Company Dinner (SH1, SH2, C1, C2)	Egyptian Hall
10/07/2018	Tin Plate Workers' Company Installation Banquet (SH1, SH2, C1, C2)	Egyptian Hall
11/07/2018	WCIT Civic Banquet (SH1, SH2, C2)	Fishmongers' Hall
12/07/2018	Epping Forest Dinner (C1)	Grocers' Hall
17/07/2018	Dinner at the Pewterers' (SH1)	Pewterers Hall
18/07/2018	Chartered Accountants' Company Dinner (SH1, C1)	Egyptian Hall
19/07/2018	Drapers Court Dinner (SH2 & C2)	Old Bailey
19/07/2018	Retired Judges' Dinner (C1)	Drapers' Hall
25/07/2018	Marketors' Company Banquet (SH1, SH2, C1, C2)	Egyptian Hall
27/07/2018	Cutlers' in Hallamshire Forfeit Feast (SH1, SH2, C1, C2)	Cutlers' Hall, Church Steet

**APPENDIX 7 - HOSPITALITY RECEIVED BY THE LORD MAYOR ON OVERSEAS VISITS
November 2017 – September 2018**

DATE	EVENT	LOCATION
	LM's Visit to Saudi Arabia and UAE	
10/12/2017	Lunch with Jeddah business leaders	Jeddah
10/12/2017	Dinner with Sheikh Faizal Sharif	Jeddah
11/12/2017	Breakfast with HM Ambassador	Riyadh
11/12/2017	Lunch with PWC	Riyadh
11/12/2017	Dinner hosted by HM Ambassador	Riyadh
12/12/2017	Breakfast with HM Ambassador	Abu Dhabi
12/12/2017	Lunch hosted by the British Business Group Abu Dhabi	Abu Dhabi
12/12/2017	Dinner hosted by HM Ambassador	Abu Dhabi
13/12/2017	Breakfast with ICAEW	Dubai
13/12/2017	Dinner hosted by PwC	Dubai
	LM's Visit to Israel and the Occupied Palestinian Territories	
22/01/2018	Dinner hosted by HM Ambassador	Tel Aviv
23/01/2018	Breakfast hosted by HM Ambassador	Tel Aviv
23/01/2018	Dinner hosted by HM Consul-General	Jerusalem
	LM's Visit to Australia and New Zealand	
11/02/2018	Dinner with Brian Hartzler, CEO of Westpac	Sydney
12/02/2018	Lunch with the Australian British Chambers of Commerce	Sydney
12/02/2018	Drinks Reception at Macquarie Bank	Sydney
13/02/2018	Lunch with PwC	Sydney
13/02/2018	Dinner with HM Consul-General	Sydney
14/02/2018	Lunch with the British Deputy High Commissioner	Canberra
15/02/2018	Breakfast with PwC	Melbourne
15/02/2018	Lunch with the Australian British Chambers of Commerce	Melbourne
15/02/2018	Dinner at Herbert Smith Freehills	Melbourne
16/02/2018	Breakfast with the Department of Environment, Land, Water & Planning, State Government of Victoria	Melbourne
16/02/2018	Lunch with ANZ	Melbourne

16/02/2018	Dinner with the University of Melbourne's Dean of the Business and Economics Faculty	Melbourne
19/02/2018	Lunch with PwC	Auckland
19/02/2018	Dinner hosted by the British High Commissioner	Auckland
20/02/2018	Breakfast with the British New Zealand Business Association	Auckland
20/02/2018	Lunch with Fintech NZ at Anthony Harper Law Firms	Auckland
20/02/2018	Reception and supper with Russell McVeagh	Auckland
	LM's Visit to China and Hong Kong SAR	
19/03/2018	Dinner hosted by the ICAEW	Shenzhen
20/03/2018	Dinner hosted by Standard Life Aberdeen	Hong Kong
21/03/2018	Breakfast with British Chamber of Commerce	Hong Kong
21/03/2018	Dinner hosted by PwC	Hong Kong
22/03/2018	Lunch hosted by the Pudong New Area Party Secretary (Weng Zuliang)	Shanghai
23/03/2018	Breakfast with British Chamber of Commerce	Shanghai
25/03/2018	Dinner hosted by Party Secretary Xicheng	Beijing
26/03/2018	Lunch with British Chamber of Commerce	Beijing
26/03/2018	Dinner with Dr Ma Jun, Chairman, China Green Finance Committee	Beijing
27/03/2018	Lunch with Vice President, Bank of China	Beijing
	LM's Visit to Chile, Brazil and Peru	
22/04/2018	Lunch hosted by Mr Lesley Hemery (Chilean British Chamber of Commerce)	Santiago
23/04/2018	Breakfast hosted by HM Ambassador	Santiago
23/04/2018	Lunch with the Board of Santiago Stock Exchange	Santiago
23/04/2018	Dinner with Inbest Chile	Santiago
24/04/2018	Lunch with the Chilean British Chamber of Commerce	Santiago
24/04/2018	The Queen's Birthday Reception hosted by HM Ambassador	Santiago
25/04/2018	Dinner hosted by HM Ambassador	Sao Paulo
26/04/2018	Lunch with Santander Brazil	Sao Paulo
26/04/2018	Dinner hosted by HM Consul-General	Sao Paulo

27/04/2018	Lunch hosted by HM Consul-General	Rio de Janeiro
29/04/2018	Dinner hosted by HM Ambassador	Lima
	LM's Visit to Singapore and Indonesia	
07/05/2018	Lunch at Artemis Restaurant hosted by Lloyd's of London	Singapore
07/05/2018	Drinks reception hosted by Aviva Digital Garage	Singapore
08/05/2018	Breakfast with the British Chamber of Commerce	Singapore
09/05/2018	Lunch hosted by PwC	Jakarta
	LM's Visit to Norway	
15/05/2018	Dinner hosted by HM Ambassador	Oslo
16/05/2018	Lunch hosted by Deloitte	Oslo
	LM's Visit to US & Canada	
31/05/2018	Dinner hosted by HM Consul-General	New York City
01/06/2018	Breakfast with Edelman	New York City
01/06/2018	Lunch with the New York Foundling Project	New York City
01/06/2018	Dinner with ICAEW and ACAUS	New York City
04/06/2018	Breakfast with the Canadian Banking Association and the Toronto Financial Services Association	Toronto
04/06/2018	Lunch with TD Bank	Toronto
04/06/2018	Dinner hosted by HM Consul-General	Toronto
05/06/2018	Lunch with Brown Brothers Harriman	Boston
05/06/2018	Boston Red Sox Baseball Game courtesy of Fenway Sports Group	Boston
06/06/2018	Breakfast with EY	Boston
07/06/2018	Breakfast with EY	Chicago
07/06/2018	Lunch with Legal & General	Chicago
07/06/2018	Dinner hosted by HM Consul-General	Chicago
	LM's Visit to Nigeria	

26/06/2018	Breakfast with the British High Commissioner	Abuja
26/06/2018	Lunch with NAICOM and PENCOM	Abuja
26/06/2018	Dinner hosted by the British High Commissioner	Abuja
27/06/2018	Lunch hosted by the British Deputy High Commissioner	Lagos
27/06/2018	Dinner hosted by the British Deputy High Commissioner	Lagos
28/06/2018	Breakfast hosted by the British Deputy High Commissioner	Lagos
	LM's Visit to Japan and South Korea	
10/07/2018	Breakfast hosted by Schrodgers Japan	Tokyo
10/07/2018	Lunch with British Chamber of Commerce	Tokyo
10/07/2018	Reception and Dinner hosted by HM Ambassador	Tokyo
11/07/2018	Lunch hosted by Standard Chartered Bank	Tokyo
12/07/2018	Dinner with HM Ambassador	Seoul
13/07/2018	Breakfast with British Chamber of Commerce	Seoul
13/07/2018	Lunch with Deputy Prime Minister and Finance Minister	Seoul
13/07/2018	Dinner with KOFIA	Seoul
	LM's Visit to Qatar	
20/09/2018	Lunch hosted by the Qatar British Business Forum	Doha

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Committee: Standards Committee	Date: 5 October 2018
Subject: Requests for a Dispensation under the Localism Act 2011	Public
Report of: Town Clerk	For Decision
Report author: Martin Newton	

Summary

A Member has requested a dispensation to speak and/or vote on matters where they would otherwise have a disclosable pecuniary interest.

Recommendation

Your Committee is asked to determine the applications for a dispensation.

Main Report

Background

1. A Member has submitted a request for a dispensation. Your Committee has requested that all such applications are accompanied by a covering report.

Disclosable pecuniary interests under the Localism Act 2011

2. Under the Localism Act 2011, The Relevant Local Authorities (Disclosable Pecuniary Interests) Regulations 2012 and the City Corporation's Member Code of Conduct there are a number of disclosable pecuniary interests that prevent a Member from participating in any discussion or vote on a connected item of business.
3. The Localism Act 2011 does not provide any additional guidance on judging whether a disclosable pecuniary interest is engaged or not. It simply states that the prohibition on speaking or voting on a matter is engaged where a Member:
 - (a) is present at a meeting;

- (b) has a disclosable pecuniary interest in any matter to be considered, or being considered, at the meeting; and
 - (c) is aware that the condition in paragraph (b) is met.
- 4. It is up to individual Members to make a judgement whether they have a disclosable pecuniary interest in relation to any particular item of business. This will be the case where a decision would materially affect a Member's interest in land, for example by materially affecting the value of that land, the prospects of selling that land, or the use and enjoyment of that land.
- 5. A Member also commits a criminal offence if, without reasonable excuse, they participate in any discussion or vote on any City Fund matter in which they have a disclosable pecuniary interest. For this reason we would always advise a Member to err on the side of caution. A Member who is found guilty of such an offence can be fined up to £5,000 and disqualified from holding office for up to five years. A prosecution may only be instigated by or on behalf of the Director of Public Prosecutions (DPP).

Granting dispensations under the Localism Act 2011

- 6. A relevant authority may, on a written request made to the proper officer of the authority by a Member of the authority, grant a dispensation relieving the Member from either or both of the restrictions on speaking or voting in cases described in the dispensation. A dispensation must specify the period for which it has effect, which may not exceed four years. The granting of such dispensations is a function of this Committee and its Dispensations Sub-Committee. A relevant authority may only grant a dispensation if, after having had regard to all relevant circumstances, the authority:
 - (a) considers that without the dispensation the number of persons prohibited from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business;
 - (b) considers that without the dispensation the representation of different political groups on the body transacting any particular business would be so upset as to alter the likely outcome of any vote relating to the business;*
 - (c) considers that granting the dispensation is in the interests of persons living in the authority's area;

- (d) if it is an authority operating executive arrangements, considers that without the dispensation each Member of the authority's executive would be prohibited from participating in any particular business to be transacted by the authority's executive;* or
- (e) considers that it is otherwise appropriate to grant a dispensation.

* Grounds (b) and (d) are not directly applicable to the City Corporation but are included for completeness and context.

Additional factors

7. Subject to the specific statutory grounds for granting a dispensation, Members may also wish to take into account the following (non-exhaustive) additional factors:
 - (i) whether the nature of the Member's interest is such that to allow them to participate would risk damage to public confidence in the conduct of the City Corporation's business;
 - (ii) whether the interest is common to the Member and a significant proportion of the general public;
 - (iii) whether the Member was elected on a platform that they would specifically address the item or items of business for which the dispensation is sought;
 - (iv) whether the participation of the Member in the business that the interest relates to is justified by their particular role or expertise;
 - (v) whether the request is for a dispensation to (a) speak at the meeting with the same rights as a member of the public; (b) participate fully in the debate as a Member of the decision-making body in question; or (c) participate fully in the debate as a Member of the decision-making body in question and vote on the business.
8. As previously stated, the onus is on individual Members to decide whether they have a disclosable pecuniary interest in any given matter. Therefore, the Committee should assume for present purposes that any dispensation being sought is required in order to participate, and you should not refuse a request simply on the basis that a dispensation is not thought to be necessary. Any dispensation granted is entirely permissive in nature and does not impose any restrictions on speaking or voting where no such restrictions otherwise exist.

The applications and specific considerations

9. The request for a dispensation that has been received is listed below and the relevant application form appended. This report does not seek to duplicate the information that is contained in the application form. However, where there is additional relevant information this is set out below.

Deputy Robert Merrett

10. A request for a dispensation from Deputy Robert Merrett is attached as an Appendix. He is a Common Councilman for the Ward of Bassishaw and a Common Council appointed member of the Board of Governors of the City of London School for Girls (CLSG). The request is to speak and or vote on all CLSG matters, but not vote on any matter that has a direct financial impact to himself as a parent of a pupil, such as school fees. Deputy Merrett's daughter has recently become a pupil at the school in September 2018.

Recent decision of the Dispensations Sub (Standards) Committee

11. At its meeting in August 2018, the Dispensations Sub (Standards) Committee considered a request from a Common Councilman, and the parent of two children at City of London Freeman's School, for a dispensation to speak and vote as a member of the Board of Governors of the School on all business before the Board except the setting of school fees, and the award of bursaries from the City of London Freeman's School Bursary Fund.
12. In considering that application it was noted that as a Common Council appointment, the applicant's role as a Governor was different to that of a parent governor and therefore his disclosable pecuniary interest was such that a dispensation could only be granted if it met with the criteria set out under the Localism Act 2011. The Sub-Committee acknowledged the request to participate only in core strategic business and where no direct pecuniary interest arose. However, Members felt that it was not possible to determine what business before the Board was or was not relevant to the disclosable pecuniary interest and on that basis, the request should be refused as it was not appropriate for the applicant, in light of his disclosable pecuniary interest, to serve on the Board of Governors as a City Corporation Member.

Conclusion

13. Your Committee is asked to determine the application in accordance with the criteria set out under the Localism Act 2011.

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REQUEST FOR DISPENSATION – DISPENSATIONS FOR MEMBERS TO SPEAK AND VOTE WHERE THEY HAVE CERTAIN DISCLOSABLE PECUNIARY INTERESTS

The new Standards regime does not replicate the former statutory exemptions which allowed Members to vote on a number of matters in which they would otherwise have had a prejudicial interest. However, the City of London Corporation may, following a written request, grant a dispensation for a Member or a Co-opted Member to take part in any discussion and vote on a matter in which they have a disclosable pecuniary interest, in some circumstances.

It is anticipated that the principal matters likely to require dispensations are those relating to the following, where a Member has a beneficial interest in land within the City:-

- Housing (where the Member holds a lease or tenancy from the City, as long as the matter does not relate to their particular lease or tenancy).


However, dispensation may be granted in relation to any matter so long as a relevant criterion is met. The criteria that are relevant to the City of London Corporation are as follows:

- Without the dispensation the proportion of Members and Co-opted Members prohibited from participating in any particular business would be so great as to impede the transaction of the business; or
- Granting the dispensation is in the interests of persons living in the City; or
- It is otherwise appropriate to grant a dispensation.

Please provide the following information if you wish to request a dispensation to allow you to speak and/or vote on a specific matter or matters, either on a specific occasion or at any point until the next Ward elections in 2021.

The following information will be considered by the Standards Committee or its Dispensations Sub Committee.

Name: ROBERT MERRETT Date: 6th SEPTEMBER 2018

Signed: _____


I request a dispensation to enable me to speak and/or vote* on the following matter(s):

* TO SPEAK AND/OR VOTE ON ALL CITY OF LONDON SCHOOL FOR GIRLS ("CLSG") MATTERS BUT NOT VOTE ON ANY MATTER THAT HAS A DIRECT FINANCIAL IMPACT TO MYSELF AS A PARENT OF A PUPIL, SUCH AS SCHOOL FEES.

(* Please delete as applicable)

Please describe the nature of the disclosable pecuniary interest(s) that would otherwise prohibit you from speaking and/or voting on the above matter(s):

- * I AM A GOVERNOR OF CLSG UNTIL JULY 2021 FOLLOWING ELECTION AT COMMON COUNCIL.
- * MY DAUGHTER STARTED AS A PUPIL IN SEPTEMBER 2018 FOLLOWING ACCEPTANCE IN JUNE 2018.
- * ANY DECISIONS BY THE BOARD OF CLSG ON SCHOOL FEES OR SIMILAR WOULD HAVE A DIRECT IMPACT ON MYSELF AS A PARENT, INCLUDING A FINANCIAL ONE.

Please explain which of the criteria for granting a dispensation you consider to be met, and why:

- * I BELIEVE IT IS APPROPRIATE TO GRANT A DISPENSATION.
- * A MAJORITY OF THE DISCUSSIONS AND SPEAKING BY MYSELF WILL HAVE NO DIRECT IMPACT FOR MYSELF DESPITE BEING A PARENT OF ONE PUPIL.
- * THE ONE NOTABLE EXCEPTION WOULD BE THOSE MATTERS WITH A DIRECT FINANCIAL IMPACT TO MYSELF, PRIMARILY THE SETTING OF SCHOOL FEES.
- * HENCE I WOULD SPEAK AS A GOVERNOR BUT NOT VOTE ON THESE MATTERS WITH A DIRECT FINANCIAL IMPACT

I require the dispensation for:

- (i) a time limited period from/to:
- (ii) for a specific meeting of:
On:
- (ii) Until the Ward elections in 2021

If your request is urgent, please specify why:

- * THE NEXT CLSG BOARD MEETING IS ON MONDAY 8TH OCTOBER 2018.

Request considered by the Standards Committee / Dispensations Sub Committee:

Date:----- Decision taken:

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Committee: Standards Committee	Date: 5 October 2018
Subject: Report of Action Taken Between Meetings	Public
Report of: Town Clerk	For Information

Summary

In accordance with Standing Order 41, this report provides Members with the details of decisions taken by the Town Clerk, in consultation with the Chairman and Deputy Chairman of the Standards Committee, since the Committee's last meeting in May 2018.

Recommendation

That the contents of the report be noted.

Main Report

Background

1. Standing Order 41(a) provides a mechanism for decisions to be taken between scheduled meetings of the Standards Committee, where in the opinion of the Town Clerk, it is urgently necessary for a decision to be made. Standing Order 41(b) provides a mechanism for decisions to be taken between scheduled meetings of the Standards Committee, where the Committee has delegated power to the Town Clerk. In each case, the decisions are taken in consultation with the Chairman and Deputy Chairman (or where the Deputy Chairman was otherwise unable to act, by Deputy Lord as a former Chairman of the Committee).

Decisions Taken Between Meetings

2. Decisions have been taken in respect of the following matters since the last meeting of the Standards Committee in May 2018:-

Delegated Decisions

The following delegated decisions were made under Standing Order No. 41 (b):-

(i) **Dispensation Request (21.05.18)**

Request refused for Deputy Lord to speak and vote at Hospitality Working Party on 22 May 18 on an application for the use of Guildhall by the

Global Tobacco and Nicotine Forum (GTNF) at the meeting of the Hospitality Working Party on 22 May 2018.

(ii) Dispensation Requests (31.05.18)

- (a) Request partially granted for William Pimlott to speak only on Ben Jonson, Breton, Thomas More, Benyon and Willoughby Houses, Barbican residential car park and stores at the Barbican Residential Committee on 4 June 2018.
- (b) Request granted for Mary Durcan to speak only on Ben Jonson, Breton, Thomas More, Benyon and Willoughby Houses, Barbican residential car park and stores at the Barbican Residential Committee on 4 June 2018.
- (c) Request partially granted for Mark Bostock to speak only on Ben Jonson, Breton, Thomas More, Benyon and Willoughby Houses, Barbican residential car park and stores at the Barbican Residential Committee on 4 June 2018.

(iii) Dispensation Requests (04.06.18)

- (a) Request partially granted for Alderman Luder to speak only at Police Committee and Court on security of entrances to the Barbican complex and various walkways until next ward elections in 2021.
- (b) Request granted for Alderman Luder, as a City resident, to speak and vote on matters concerning the Corporation's budget and setting of council tax.

(iv) Commencement of New Standards Complaints Procedure (16.07.18)

That the Corporation's revised complaints procedure relating to the Member's Code of Conduct should come into effect from date of Court's approval of changes to Standards Committee terms of reference (19.07.18)

Urgent Decisions

The following urgent decisions were made under Standing Order No. 41 (a):-

(i) Dispensation Requests (04.07.18)

- (a) Request partially granted for Randall Anderson to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review.

- (b) Request granted for Vivienne Littlechild to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review.
- (c) Request partially granted for Alderman Luder to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review.
- (d) Request partially granted for Deputy McGuinness to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review.
- (e) Request partially granted for Deputy Tomlinson to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review.
- (f) Request granted for Susan Pearson to speak on planning applications 18/00506/FULL, 18/0409/FULL and 18/00410/LBC Conversion at Planning and Transportation Committee either on 10 July 2018 or at later meeting when the applications are considered.

(ii) Establishment of Dispensations (Standards) Working Party (09.07.18)

That approval be given to the Dispensations (Standards) Working Party and terms of reference

(iii) Dispensation Requests (17.09.18)

- (a) Request partially granted for Barbara Newman to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.
- (b) Request partially granted for Alderman Anstee to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.

(iv) Dispensation Request (21.09.18)

Request granted for Ann Holmes to speak as member of the public at Licensing (Hearing) Sub Committee on 2 October 2018 on licensing proposal relating to 60 Bartholomew Close.

(v) Dispensation Request (21.09.18)

Request refused for Mary Durcan to speak only on housing governance review at Housing Sub (Community and Children's Services) Committee on 24 September 2018.

Conclusion

3. In accordance with Standing Order 41, Members are asked to note the decisions taken by the Town Clerk in consultation with the Chairman and Deputy Chairman (or where the Deputy Chairman was otherwise unable to act, by Deputy Lord as a former Chairman of the Committee) since the Standards Committee's last meeting in May 2018.

Martin Newton

Town Clerk's Department

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